Straightening Polygonal Arcs and Convexifying Polygonal Cycles

Robert Connelly* Erik D. Demaine† Günter Rote‡

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Abstract

Consider a planar linkage, consisting of disjoint polygonal arcs and cycles of rigid bars joined at incident endpoints (polygonal chains), with the property that no cycle surrounds another arc or cycle. We prove that the linkage can be continuously moved so that the arcs become straight, the cycles become convex, and no bars cross while preserving the bar lengths. Furthermore, our motion is piecewise-differentiable, does not decrease the distance between any pair of vertices, and preserves any symmetry present in the initial configuration. In particular, this result settles the well-studied carpenter's rule conjecture.

1 Introduction

A planar *polygonal arc* or *open polygonal chain* is a sequence of finitely many line segments in the plane connected in a path without self-intersections. It has been an outstanding question as to whether it is possible to continuously move a polygonal arc in such a way that each edge remains a fixed length, there are no self-intersections during the motion, and at the end of the motion the arc lies on a straight line. This has come to be known as the carpenter's rule problem. A related question is whether it is possible to continuously move a polygonal simple closed curve in the plane, often called a *closed polygonal chain* or *polygon*, again without creating self-intersections or changing the lengths of the edges, so that it ends up a convex closed curve (see Figure 1). We solve both problems here by showing that in both cases there is such a motion.

Physically, we think of a polygonal arc as a *linkage* or *framework* with hinges at its vertices, and rigid bars at its edges. The hinges can be folded as desired, but the bars must maintain their length and cannot cross. Motions of such linkages have been studied in

*Department of Mathematics, Cornell University, Ithaca, NY 14853, U.S.A., email: connelly@math.cornell.edu

†MIT Laboratory for Computer Science, 200 Technology Square, Cambridge, MA 02139, USA, email: edemaine@mit.edu

‡Institut für Informatik, Freie Universität Berlin, Takustraße 9, D-14195 Berlin, Germany, email: rote@inf.fu-berlin.de

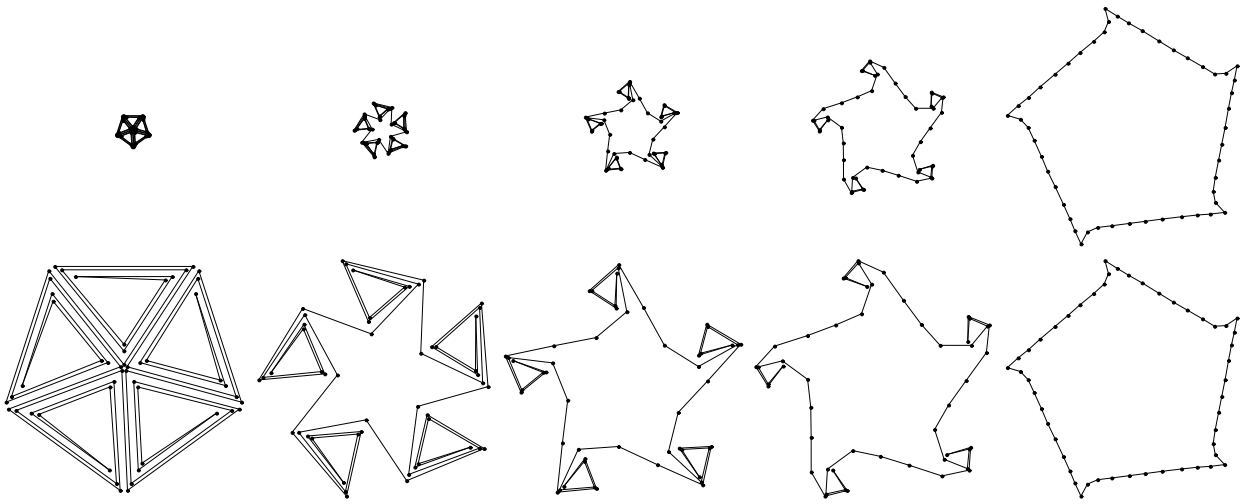


Figure 1: Two views of convexifying a polygon that comes from doubling each edge in a locked tree. The top snapshots are all scaled the same, and the bottom snapshots are scaled differently to improve visibility. More aminations can be seen at the world-wide-web pages of the first author.[2]

K2:01 discrete and computational geometry [BDD+01, Erd35, Grü95, LW95, Nag39, O'R98, Sal73,
K2:02 Tou99, Weg93, Weg96, Whi92b], in knot theory [CJ98, Mil94], and in molecular biology and
K2:03 polymer physics [FK97, MOS90, McM79, Mil94, SW88, SG72, Whi83]. Applications of this
K2:04 field include robotics, wire bending, hydraulic tube folding, and the study of macromolecule
K2:05 folding [O'R98, Tou99].

K2:06 We say an arc is *straightened* by a motion if at the end of the motion it lies on a straight
K2:07 line. We say a polygonal simple closed curve (or *cycle*) is *convexified* by a motion if at the
K2:08 end of the motion it is a convex closed curve. All motions must be *proper* in the sense that
K2:09 no self-intersections are created, and each edge length is kept fixed. It is easy to see that
K2:10 if any cycle can be convexified by a motion, then any arc can be straightened by a motion:
K2:11 simply extend each arc to a cycle and convexify it. It is then easy to straighten the portion
K2:12 of the cycle that is the original arc.

K2:13 It seems intuitively easy to straighten an entangled chain: just grab the ends and pull
K2:14 them apart. Similarly, a cycle might be opened by blowing air into it until it expands. But
K2:15 these methods have the difficulty that they might introduce singularities, where the arc or
K2:16 cycle intersects itself. Our approach is to use an *expansive* motion in which all distances
K2:17 between two vertices increase. We also show that the area of a polygon increases in such an
K2:18 expansive motion.

K2:19 We consider the more general situation, which we call an *arc-and-cycle set* A, consisting
K2:20 of a finite number of polygonal arcs and polygonal cycles in the plane, with none of the arcs or
K2:21 cycles intersecting each other or having self-intersections. We say that A is in an *outer-convex*
K2:22 *configuration* if each component of A that is not contained in any cycle of A is either straight
K2:23 (when it is an arc) or convex (when it is a cycle). The best we can hope for, in general, is
K2:24 to bring an arc-and-cycle set to an outer-convex configuration, because components nested

K2:25 [2]Currently, `http://db.uwaterloo.ca/~eddemain/linkage/`

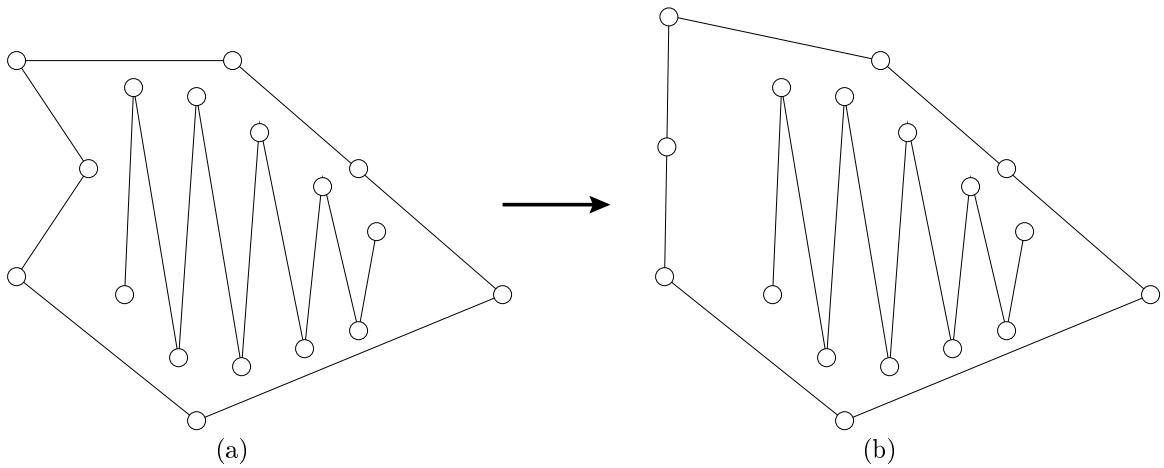


Figure 2: (a) The nested arc cannot be straightened because there is insufficient space in the containing cycle. (b) Once the containing cycle becomes convex, any expansive motion must move the arc and the cycle rigidly in unison.

K3:01 within cycles cannot always be straightened or convexified; see Figure 2(a).

K3:02 We say that a motion of an arc-and-cycle set A is *expansive* if for every pair of vertices
K3:03 of A the distance is monotonically nondecreasing over time, at all times either increasing
K3:04 or staying the same. In any expansive motion, once a cycle becomes convex, the cycle and
K3:05 any components it contains become a single rigid object; see Figure 2(b). (This fact is a
K3:06 consequence of Cauchy's Arm Lemma [Cau13, Cro97, SZ67].) Also, once two incident bars
K3:07 become collinear, they will remain so throughout any expansive motion, effectively acting as
K3:08 a single bar. We say that a motion is *strictly expansive* if the distance is constant between
K3:09 two vertices connected by a bar or straight chain of bars, and between two vertices on the
K3:10 boundary of or interior to a common convex cycle, but the distance between all other pairs
K3:11 of vertices monotonically strictly increases over time.

K3:12 We say that the arc-and-cycle set A has *separated* if there is a line L in the plane such
K3:13 that L is disjoint from A and at least one component of A lies on each side of L. Our main
K3:14 result is the following:

K3:15 **Theorem 1** *Every arc-and-cycle set has a piecewise-differentiable proper motion to an outer-*
K3:16 *convex configuration. Moreover, the motion is strictly expansive until the arc-and-cycle set*
K3:17 *becomes separated.*

K3:18 We can also extend this result to insist that the motion be strictly expansive throughout
K3:19 the entire motion:

K3:20 **Theorem 2** *Every arc-and-cycle set has a strictly expansive piecewise-differentiable proper*
K3:21 *motion to an outer-convex configuration.*

K3:22 For Theorem 2, the definition of the motion is actually even simpler than the one we
K3:23 use for Theorem 1, but unfortunately the proof is a great deal more complex. Thus we
K3:24 focus on the proof of Theorem 1. Theorem 2 has a similar proof outline, but it relies on

K4:01 a Boundedness Lemma (Lemma 10) whose proof is complicated. We refer the interested
K4:02 reader to the technical report [CDR02a] for a proof of the Boundedness Lemma, and here
K4:03 describe only how it is used to obtain Theorem 2. Note that Theorems 1 and 2 only differ
K4:04 when there is more than one component in the arc-and-cycle set; the Boundedness Lemma
K4:05 is straightforward (and proved here) for a single arc or cycle.

K4:06 In contrast to our results, in dimension three there are arcs that cannot be straightened
K4:07 and polygons that cannot be convexified [BDD+01, CJ98]. In four and higher dimensions,
K4:08 no arcs, cycles, or trees can be locked, i.e., all arcs, cycles, or trees can be straightened
K4:09 or convexified, respectively. This is true because there are enough degrees of freedom and
K4:10 one can easily avoid any impending self-intersection by "moving around" it. An explicit
K4:11 unlocking algorithm for arcs and cycles in four dimensions was given in [CO99].

K4:12 In the plane, there are examples of trees embedded in the plane that are *locked* in the
K4:13 sense that they cannot be properly moved so that the vertices lie nearly on a line [BDD+98].
K4:14 In other words, there are two embeddings of the tree such that there is no proper motion from
K4:15 one configuration to the other. The important difference between trees and arc-and-cycle
K4:16 sets is that arc-and-cycle sets have maximum degree two. We have recently strengthened
K4:17 the example of [BDD+98] by constructing a locked tree with just one vertex of degree three
K4:18 and all other vertices of degree one or two [CDR02b]. This shows that the restriction to
K4:19 arc-and-cycle sets in Theorem 1 is best possible.

	Arcs and Cycles	Trees
2-D	Not lockable [Theorem 1]	Lockable [BDD+98]
3-D	Lockable [BDD+01, CJ98]	Lockable [BDD+01, CJ98]
4-D+	Not lockable [CO99, CO01]	Not lockable [CO01]

Table 1: Summary of what types of linkages can be locked.

K4:20 Whether every arc in the plane can be straightened, and whether every polygon in the
K4:21 plane can be convexified, have been outstanding open questions until now. The problems
K4:22 are natural, so they have arisen independently in a variety of fields, including topology,
K4:23 pattern recognition, and discrete geometry. We are probably not aware of all contexts in
K4:24 which the problem has appeared. To our knowledge, the first person to pose the problem
K4:25 of convexifying cycles was Stephen Schanuel. George Bergman learned of the problem from
K4:26 Schanuel during Bergman's visit to the State University of New York at Buffalo in the
K4:27 early 1970's, and suggested the simpler question of straightening arcs. As a consequence
K4:28 of this line of interest, the problems are included in Kirby's *Problems in Low-Dimensional*
K4:29 *Topology* [Kir97, Problem 5.18].

K4:30 During the period 1986–1989, Ulf Grenander and the members of the Pattern Theory
K4:31 Group at Brown University explored various problems involving the probabilistic structure
K4:32 when generators (e.g., points and line segments) were transformed by diffeomorphisms (e.g.,
K4:33 Euclidean transformations) subject to global constraints (e.g., avoiding intersections). For
K4:34 the purposes of Bayesian image understanding, they were interested in whether the process
K4:35 was *ergodic*, i.e., every configuration could be reached from any other. In particular, they
K4:36 proved this for polygonal cycles in which the roles of angles and lengths are reversed: *angles*
K4:37 are fixed but *lengths* may vary [GCK91, Appendix D, pp. 108–128]. Grenander posed the

problems considered here in a seminar talk with the title "Can one understand shapes in nature?" at Indiana University, on March 27, 1987, and probably also on earlier occasions (according to personal communication with Allan Edmonds).

In the discrete and computational community, the problems were independently posed by William Lenhart and Sue Whitesides in March 1991 and by Joseph Mitchell in December 1992 (according to personal communications with Sue Whitesides and Joseph Mitchell). Sue Whitesides first posed this problem in a talk in 1991 [LW91]. In this community the problems were first published in a technical report in 1993 [LW93] and in a journal in 1995 [LW95].

Solutions were already known for the special cases of monotone cycles [BDL+99] and star-shaped cycles [ELR+98], and for certain types of "externally visible" arcs [BDST99].

A fairly large group of people, mentioned in the acknowledgments, was involved in trying to construct and prove or disprove locked arcs and cycles, at various times over the past few years. Typically, someone in the group would distribute an example that s/he constructed or was given by a colleague. We would try various motions that did not work, and we would often try proving that the example was locked because it appeared so! For some examples, it took several months before we found an unlocking motion. The main difficulty was that "simple" motions that change a few vertex angles at once, while easiest to visualize, seemed to be insufficient for unlocking complex examples. Amazingly, it also seemed that nevertheless there was always a global unlocking motion, and furthermore it was felt that there was a driving principle permitting "blowing up" of the linkage. This notion was formalized by the third author with the idea that perhaps an arc could be straightened via an expansive motion.

The tools that are applied here for the first time come from the theory of mechanisms and rigid frameworks. Arcs and cycles can be regarded as frameworks. See [AR78, AR79, Con80, Con82, Con93, CW96, CW93, CW82, CW94, GSS93, RW81, Whi84a, Whi84b, Whi87, Whi88, Whi92a] for relevant information about this theory.

Our approach is to prove that for any configuration there is an infinitesimal motion that increases all distances. Because of the nature of the arc-and-cycle set, this implies that there is a motion that works at least for a small expansive perturbation. We then combine these local motions into one complete motion. These notions are described in the rest of this paper. Section 3 proves the existence of infinitesimal motions using the nonexistence of certain stresses, a notion dual to infinitesimal motions for the underlying framework. The analysis of these stresses uses a lifting theorem from the theory of rigidity that was known to James Clerk Maxwell and Luigi Cremona [CW82, CW93, Whi82] in the nineteenth century. Section 4 shows how to maneuver through the space of local motions to find a global motion with the desired properties.

A short version of this paper was presented at the 41st Annual Symposium on Foundations of Computer Science in November 2000 [CDR00].

2 Basics

A *linkage* or *bar framework* $G(\mathbf{p})$ is a finite graph $G = (V, E)$ without loops or multiple edges, together with a corresponding configuration $\mathbf{p} = (\mathbf{p}_1, \ldots, \mathbf{p}_n)$ of n distinct points in the plane, where $\mathbf{p}_i$ corresponds to vertex $i \in V$. (For convenience we assume $V = \{1, \ldots, n\}$.) The

K6:01 edges of G constitute the set E and correspond to the bars in the framework, i.e., the links
K6:02 of a linkage. Arc-and-cycle sets are a particular kind of bar framework in which the graph
K6:03 G is a disjoint union of paths and cycles.

K6:04 A *flex* or *motion* of $G(\mathbf{p})$ is a set of continuous functions $\mathbf{p}(t) = (\mathbf{p}_1(t), \ldots, \mathbf{p}_n(t))$, defined
K6:05 for $0 \leq t \leq 1$, such that $\mathbf{p}(0) = \mathbf{p}$ and the Euclidean distance $\|\mathbf{p}_i(t) - \mathbf{p}_j(t)\|$ is constant
K6:06 for each $\{i, j\} \in E$. We are interested in finding a motion of the arc-and-cycle set with the
K6:07 additional property that it is strictly expansive.

K6:08 2.1 Expansiveness

K6:09 We begin with some basic properties of expansive motions. Namely, we will show that
K6:10 if a motion expands the distance between all pairs of vertices, it also expands the distance
K6:11 between all pairs of points on the arc-and-cycle framework. One consequence of this property
K6:12 is a key reason why we use expansive motions: they automatically avoid self-intersection.
K6:13 To prove the property, we need the following known basic geometric tool, which will also be
K6:14 useful later:

K6:15 **Lemma 1** *In the plane, suppose that a point* $\mathbf{c}$ *is contained in the closed triangle* $\mathbf{p}_1\mathbf{p}_2\mathbf{p}_3$*,*
K6:16 *and* $\mathbf{p}_1$ *and* $\mathbf{p}_2$ *are farther from another point* $\mathbf{q}_3$ *than from* $\mathbf{p}_3$*, i.e.,*

K6:17 $$\|\mathbf{q}_3 - \mathbf{p}_2\| \geq \|\mathbf{p}_3 - \mathbf{p}_2\| \text{ and } \|\mathbf{q}_3 - \mathbf{p}_1\| \geq \|\mathbf{p}_3 - \mathbf{p}_1\|. \quad (1)$$

K6:18 *Then* $\mathbf{c}$ *is also farther from* $\mathbf{q}_3$ *than from* $\mathbf{p}_3$*, i.e.,* $\|\mathbf{q}_3 - \mathbf{c}\| \geq \|\mathbf{p}_3 - \mathbf{c}\|$*, with equality only if*
K6:19 *both inequalities of* (1) *are equalities.*

K6:20 **Proof:** Refer to Figure 3. The circular disk C_0 centered at $\mathbf{c}$ with radius $\|\mathbf{p}_3 - \mathbf{c}\|$ is
K6:21 contained in the union of the circular disks C_1 with center $\mathbf{p}_1$ and radius $\|\mathbf{p}_3 - \mathbf{p}_1\|$, and C_2
K6:22 with center $\mathbf{p}_2$ and radius $\|\mathbf{p}_3 - \mathbf{p}_2\|$. This implies the result, because $\mathbf{q}_3$ must be outside C_1
K6:23 and C_2. See also [Con82] for a proof in terms of tensegrities. □

K6:24 **Corollary 1** *Any expansive motion of an arc-and-cycle set only increases the distance be-*
K6:25 *tween two points on the arc-and-cycle set (each either a vertex or on a bar). In particular,*
K6:26 *there can be no self-intersections.*

K6:27 **Proof:** Refer to Figure 4. First, the result is obvious if the two points are both vertices of
K6:28 the arc-and-cycle set, by definition of expansiveness. Second, consider the distance between
K6:29 a vertex $\mathbf{p}_3$ of the arc-and-cycle set and a point $\mathbf{c}$ on a bar $\mathbf{p}_1\mathbf{p}_2$ of the arc-and-cycle set.
K6:30 Expansiveness implies that $\mathbf{p}_3$ only gets farther from $\mathbf{p}_1$ and $\mathbf{p}_2$, so by Lemma 1, $\mathbf{p}_3$ only
K6:31 gets farther from $\mathbf{c}$. Third, consider the distance between $\mathbf{c}$ (again on the bar $\mathbf{p}_1\mathbf{p}_2$) and
K6:32 another point $\mathbf{d}$ on a bar $\mathbf{p}_4\mathbf{p}_5$ of the arc-and-cycle set. Substituting $\mathbf{p}_4$ and $\mathbf{p}_5$ as options
K6:33 for $\mathbf{p}_3$ in the previous argument, we know that $\mathbf{p}_4$ and $\mathbf{p}_5$ only get farther from $\mathbf{c}$. Applying
K6:34 Lemma 1 with $\mathbf{c}$ playing the role of $\mathbf{p}_3$, we obtain that $\mathbf{c}$ can only get farther from $\mathbf{d}$. □

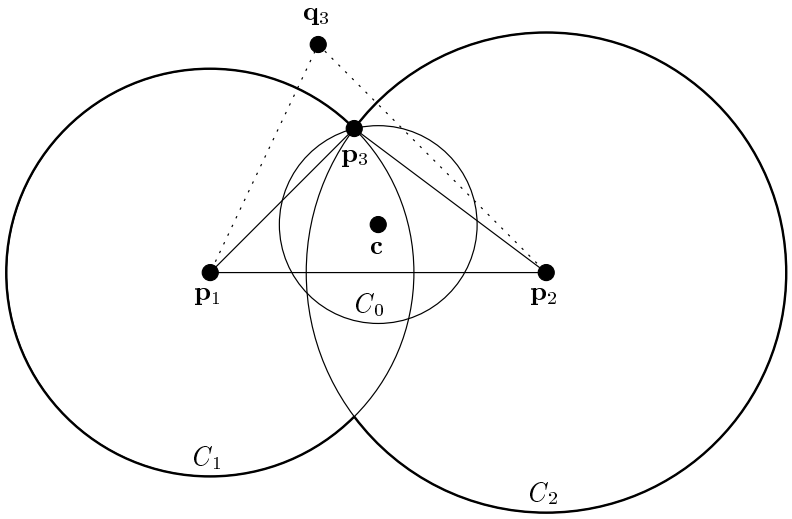


Figure 3: Illustration of Lemma 1.

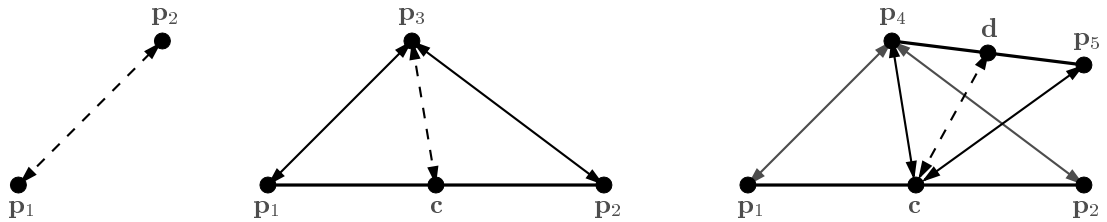


Figure 4: Illustration of the three cases of Corollary 1: (left) two vertices $\mathbf{p}_1, \mathbf{p}_2$; (middle) one vertex $\mathbf{p}_3$ and one point $\mathbf{c}$ on a bar $\mathbf{p}_1\mathbf{p}_2$; (right) one point $\mathbf{c}$ on a bar $\mathbf{p}_1\mathbf{p}_2$ and another point $\mathbf{d}$ on a bar $\mathbf{p}_4\mathbf{p}_5$. Bold edges denote bars, and arrows denote expansion; dashed arrows are derived from solid arrows.

K7:01 ## 2.2 The Framework $G_A(\mathbf{p})$

K7:02 Given an arc-and-cycle set A that we would like to move to an outer-convex configuration, we
K7:03 make four modifications to A. The first three modifications simplify the problem by removing
K7:04 a few special cases that are easy to deal with; see Figure 5. The fourth modification will
K7:05 bring the problem of finding a strictly expansive motion into the area of tensegrity theory.
K7:06 In the end we will have defined a new framework, $G_A(\mathbf{p})$, which we will use throughout the
K7:07 rest of the proof.

K7:08 **Modification 1: Remove straight vertices.** First we show that our arc-and-cycle set
K7:09 can be assumed to have no straight vertices, i.e., vertices with angle π. Furthermore, if during
K7:10 an expansive motion of the arc-and-cycle set we find that a vertex becomes straight, we can
K7:11 proceed by induction. For once the arc-and-cycle set has a straight subarc of more than
K7:12 one bar, we can coalesce this subarc into a single bar, thereby preserving the straightness
K7:13 of the subarc throughout the motion once it becomes straight. This reduces the number of
K7:14 bars and the number of vertices in the framework. By induction, this reduced framework
K7:15 has a motion according to Theorem 1, and such a motion extends directly to the original

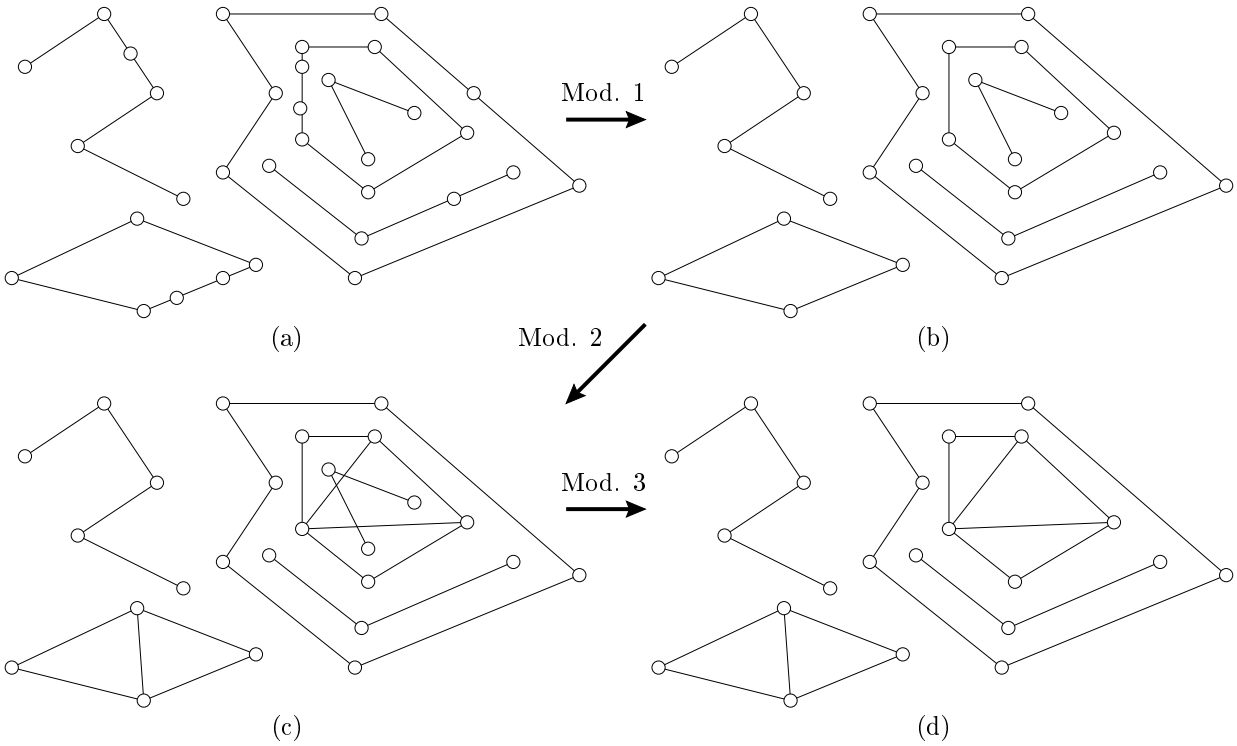


Figure 5: (a) Original arc-and-cycle framework. (b) With straight vertices removed. (c) With convex cycles rigidified. (d) With components nested within convex cycles removed.

K8:01 framework. The resulting motion is also strictly expansive by Corollary 1.

K8:02 **Modification 2: Rigidify convex polygons.** Once a cycle becomes convex, we no longer
K8:03 have to expand it, indeed it is impossible to expand, so we hold it rigid from that point on.
K8:04 Of course, we allow a convex cycle to translate or rotate in the plane, but its vertex angles
K8:05 are not allowed to change. This can be directly modeled in the bar framework by introducing
K8:06 bars in addition to the arc-and-set cycle. Specifically, we add the edges of a triangulation of
K8:07 a cycle once that cycle becomes convex. We deal with the contents of the cycle in the next
K8:08 modification.

K8:09 **Modification 3: Remove components nested within convex cycles.** The previous
K8:10 modification did not address the fact that components can be nested within cycles. Once
K8:11 a cycle becomes convex, not only can we rigidify it, but we can also rigidify any nested
K8:12 components, and treat them as moving in synchrony with the convex cycle. We do this by
K8:13 removing from the framework any components nested within a convex cycle. Assuming there
K8:14 were some nested components to deal with, this results in a framework with fewer vertices and
K8:15 fewer bars. By induction, this reduced framework has a motion according to Theorem 1. This
K8:16 motion can be extended to apply to the original framework by defining nested components
K8:17 to follow the rigid motion of the containing convex cycle (rigid by Modification 2). By the
K8:18 following consequence of Lemma 1, the resulting motion is also strictly expansive.

K9:01 **Lemma 2** *Extending a motion to apply to components nested within convex cycles preserves*
K9:02 *strict expansiveness.*

K9:03 **Proof:** Consider some vertex $\mathbf{c}$ on a component inside some convex cycle, and a vertex $\mathbf{p}_3$
K9:04 outside the cycle. We first consider the case that $\mathbf{p}_3$ does not lie inside another convex cycle.
K9:05 Extend the ray from $\mathbf{p}_3\mathbf{c}$ beyond $\mathbf{c}$, and let $\mathbf{p}_1\mathbf{p}_2$ be the edge through which this ray exits
K9:06 the cycle. Thus, $\mathbf{c}$ is in the triangle $\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3$, so Lemma 1 applies, and the distance $\mathbf{p}_3\mathbf{c}$
K9:07 increases.

K9:08 For two points $\mathbf{c}_1$ and $\mathbf{c}_2$ in two different cycles C_1 and C_2, we extend the ray $\mathbf{c}_1\mathbf{c}_2$ to
K9:09 identify the edge $\mathbf{p}_1\mathbf{p}_2$ on C_2 where the ray leaves C_2. From the first part of the proof we
K9:10 conclude that $\mathbf{c}_1\mathbf{p}_1$ and $\mathbf{c}_1\mathbf{p}_2$ increase, and by Lemma 1, the distance $\mathbf{c}_1\mathbf{c}_2$ increases. □

K9:11 **Modification 4: Add struts.** In order to model the expansive property we need, we
K9:12 apply the theory of tensegrity frameworks, in which frameworks can consist of both bars
K9:13 and "struts." In contrast to a bar, which must stay the same length throughout a motion,
K9:14 a *strut* is permitted to increase in length, or stay the same length, but cannot shorten. The
K9:15 last modification adds a strut between nearly every pair of vertices in the framework. The
K9:16 exceptions are those vertices already connected by a bar, and vertices on a common convex
K9:17 cycle, because in both cases we cannot hope to strictly increase the distance.

K9:18 **Final framework: $G_A(\mathbf{p})$.** The above modifications define a tensegrity (bar-and-strut)
K9:19 framework $G_A(\mathbf{p})$ in terms of the arc-and-cycle set A. Specifically, assume that A has no
K9:20 straight vertices (Modification 1) and no components nested within convex cycles (Modi-
K9:21 fication 3). We call such an arc-and-cycle set *reduced*. We define the set of bars, B, to
K9:22 consist of the bars from the arc-and-cycle set together with the bars forming the rigidifying
K9:23 triangulation of each convex cycle (Modification 2). The set S of struts consists of all vertex
K9:24 pairs that are not connected by a bar in B and which do not belong to a common convex
K9:25 cycle (Modification 4). See Figure 6 for an example of A and the resulting bar-and-strut
K9:26 framework $G_A(\mathbf{p})$. (The rightmost framework $G'_A(\mathbf{p}')$ will be defined later.)

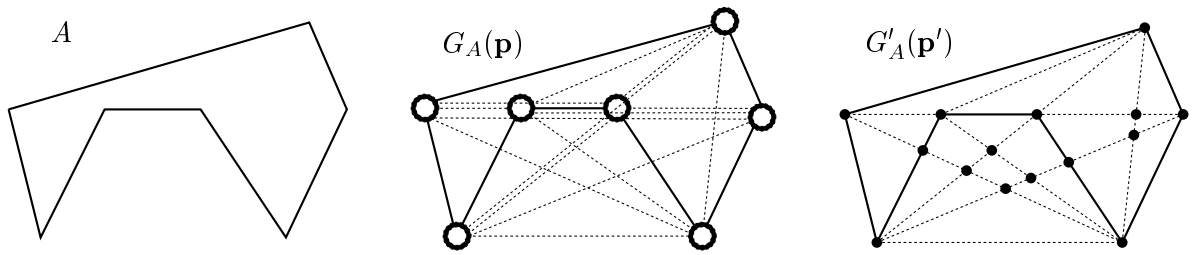


Figure 6: Construction of the frameworks $G_A(\mathbf{p})$ and $G'_A(\mathbf{p}')$. Solid lines denote bars, and dashed lines denote struts.

K9:27 Our goal in the proof of Theorem 1 is to find a motion such that all bars maintain their
K9:28 length, while all struts strictly increase in length, in other words, a motion of $G_A(\mathbf{p})$ that is
K9:29 *strict* on all struts.

K10:01 Thus, we want to find a motion $\mathbf{p}(t)$ for $0 \le t \le 1$ such that $\mathbf{p}(0) = \mathbf{p}$ and

K10:02
$$\begin{aligned} \tfrac{d}{dt}\|\mathbf{p}_j(t) - \mathbf{p}_i(t)\| &= 0 \quad \text{for } \{i,j\} \in B, \\ \tfrac{d}{dt}\|\mathbf{p}_j(t) - \mathbf{p}_i(t)\| &> 0 \quad \text{for } \{i,j\} \in S. \end{aligned}$$

K10:03 Differentiating the *squared* distances $\|\mathbf{p}_j(t) - \mathbf{p}_i(t)\|^2 = (\mathbf{p}_j(t) - \mathbf{p}_i(t)) \cdot (\mathbf{p}_j(t) - \mathbf{p}_i(t))$ and
K10:04 denoting the velocity vectors by $\mathbf{v}_i(t) := \frac{d}{dt}\mathbf{p}_i(t)$, we obtain the following equivalent condi-
K10:05 tions.

K10:06
$$\begin{aligned} (\mathbf{v}_j(t) - \mathbf{v}_i(t)) \cdot (\mathbf{p}_j(t) - \mathbf{p}_i(t)) &= 0 \quad \text{for } \{i,j\} \in B, \\ (\mathbf{v}_j(t) - \mathbf{v}_i(t)) \cdot (\mathbf{p}_j(t) - \mathbf{p}_i(t)) &> 0 \quad \text{for } \{i,j\} \in S. \end{aligned}$$

K10:07 Intuitively, the first-order change in the distance between vertex i and j is modeled by
K10:08 projecting the velocity vectors onto the line segment between the two vertices; see Figure 7.

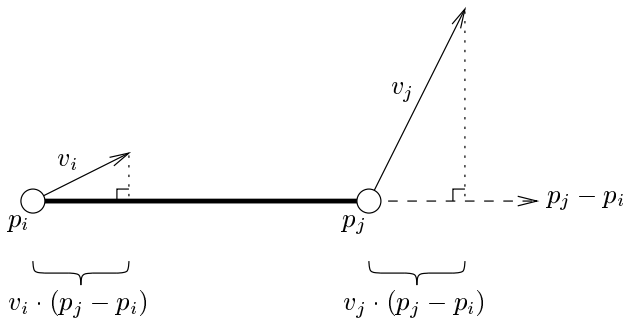


Figure 7: The dot product $(\mathbf{v}_j(t) - \mathbf{v}_i(t)) \cdot (\mathbf{p}_j(t) - \mathbf{p}_i(t))$ is zero if the distance between $\mathbf{p}_i$ and $\mathbf{p}_j$ stays the same to the first order, positive if the distance increases to the first order, and negative if the distance decreases to the first order.

K10:09 2.3 Infinitesimal Motions

K10:10 A *strict infinitesimal motion* or *strict infinitesimal flex* $\mathbf{v} = (\mathbf{v}_1, \ldots, \mathbf{v}_n)$ specifies the first
K10:11 derivative of a strictly expansive motion at time 0. In other words, it assigns a velocity
K10:12 vector $\mathbf{v}_i$ to each vertex i so that it preserves the length of the bars to the first order, and
K10:13 strictly increases the length of struts to the first order. More precisely, a strict infinitesimal
K10:14 motion must satisfy

K10:15
$$\begin{aligned} (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) &= 0 \text{ for } \{i,j\} \in B, \\ (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) &> 0 \text{ for } \{i,j\} \in S, \end{aligned} \tag{2}$$

K10:16 where $\mathbf{p}_i$ denotes the initial position of vertex i.

K10:17 In the next section, we prove that such a strict infinitesimal motion always exists. In
K10:18 Section 4 we show how this leads to motions for small amounts of time. These motions are
K10:19 then shown to continue globally until the configuration reaches an outer-convex configuration.

K10:20 3 Local Motion

K10:21 Recall that an arc-and-cycle set is called *reduced* if adjacent collinear bars have been coa-
K10:22 lesced, and components nested within convex cycles have been removed. In this section, we

K11:01 prove the following:

K11:02 **Theorem 3** *For any reduced arc-and-cycle set A there is an infinitesimal motion* $\mathbf{v}$ *of the*
K11:03 *corresponding bar-and-strut framework* $G_A(\mathbf{p})$ *satisfying* (2).

K11:04 The proof will go through a sequence of transformations from motions to stresses, and
K11:05 from there to polyhedral terrains, to which geometric reasoning is finally applied. A second,
K11:06 independent, but no less indirect proof of Theorem 3 follows from the results about the
K11:07 structure of the *expansion cone* in [RSS02, Theorem 4.3].

K11:08 3.1 Equilibrium Stresses

K11:09 The equations and inequalities in (2) form a linear feasibility problem that is common
K11:10 for tensegrity frameworks. In order to solve this problem, it is helpful to apply linear-
K11:11 programming duality and consider the equivalent dual problem. We discuss the duality first
K11:12 in terms of equilibrium stresses in tensegrity frameworks, and later reconnect it to linear-
K11:13 programming duality.

K11:14 A *stress* in a framework $G(\mathbf{p})$ is an assignment of a scalar $\omega_{ij} = \omega_{ji}$ to each edge $\{i, j\}$
K11:15 of G (a bar or strut). A negative scalar means that the edge is pushing on its two endpoints
K11:16 by an equal amount, a positive value means that the edge is pulling on its endpoints by an
K11:17 equal amount, and zero means that the edge induces no force. The whole stress is denoted
K11:18 by $\omega = (\dots, \omega_{ij}, \dots)$. We say that the stress ω is an *equilibrium stress* if each vertex i of G
K11:19 is in equilibrium, i.e., stationary subject to the the forces from the incident edges:

K11:20
$$\sum_{j\,:\,\{i,j\}\in B\cup S} \omega_{ij}(\mathbf{p}_j - \mathbf{p}_i) = 0 \tag{3}$$

K11:21 We say that the stress ω is *proper* if furthermore, for all struts $\{i, j\}$, $\omega_{ij} \leq 0$. That is, struts
K11:22 can carry only zero or negative stress. There is no sign condition for bars: they can carry
K11:23 zero, positive, or negative stress. Thus, only bars can carry positive stress. (Terminology
K11:24 and sign conditions have not always been uniform in the literature. An equilibrium stress
K11:25 is also called a *self-stress* or simply a *stress*. All stresses that we deal with are equilibrium
K11:26 stresses.)

K11:27 A trivial example of an equilibrium stress is the *zero stress* in which every edge is assigned
K11:28 a scalar of zero. All other stresses are called *nonzero*. To prove Theorem 3, we use the
K11:29 following duality principle connecting nonzero equilibrium stresses and infinitesimal motions:

K11:30 **Lemma 3** *If the only proper equilibrium stress in a bar-and-strut framework is the zero*
K11:31 *stress, then the framework has an infinitesimal motion satisfying* (2).

K11:32 This equivalence is a standard technique in the theory of rigidity. See [CW96, Theorem
K11:33 2.3.2] for a similar statement. For completeness, we give a brief proof here based on linear
K11:34 programming duality:

K11:35 **Proof:** To make it easier to take the dual of the linear feasibility problem defined by (2),
K11:36 we write a linear program in standard form. First we add an otherwise pointless objective

K12:01 function of $0 = 0 \cdot \mathbf{v}$. Then we rescale the velocities $\mathbf{v}$ in (2) to obtain the following equivalent
K12:02 linear program:

$$\begin{array}{ll} \text{minimize} & 0 \cdot \mathbf{v} \\ \text{subject to} & (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) = 0 \text{ for } \{i,j\} \in B, \\ & (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) \geq 1 \text{ for } \{i,j\} \in S, \end{array} \tag{4}$$

K12:04 We wish to show that the framework has an infinitesimal motion, which is equivalent to this
K12:05 linear program having a feasible solution, that is, an optimal solution of value 0. By linear-
K12:06 programming duality (the Farkas lemma), it suffices to show that the dual linear program

$$\begin{array}{lll} \text{maximize} & \displaystyle\sum_{\{i,j\} \in S} \bar{\omega}_{ij} & \\ \text{subject to} & \displaystyle\sum_{j:\{i,j\} \in B \cup S} \bar{\omega}_{ij}(\mathbf{p}_j - \mathbf{p}_i) = 0 & \text{for } i \in V, \\ & \bar{\omega}_{ij} = \bar{\omega}_{ji} & \text{for } \{i,j\} \in B \cup S, \\ & \bar{\omega}_{ij} \geq 0 & \text{for } \{i,j\} \in S, \end{array} \tag{5}$$

K12:07 has an optimal solution of value 0. If we express the constraints of this linear program in
K12:08 terms of negated dual variables $\omega_{ij} = -\bar{\omega}_{ij}$, they specify precisely a proper equilibrium stress.
K12:09 Thus, it suffices to show that every proper equilibrium stress has $\omega_{ij} = 0$ for all $\{i,j\} \in S$.
K12:10 In particular, it suffices to show that every proper equilibrium stress is identically zero. □

K12:11 The important consequence of this lemma is that, in order to prove the desired Theorem 3,
K12:12 it suffices to prove the following:

K12:13 **Theorem 4** *The framework $G_A(\mathbf{p})$ corresponding to a reduced arc-and-cycle set A has only*
K12:14 *the zero proper equilibrium stress.*

K12:15 3.2 Planarization

K12:16 To prove that only the zero equilibrium stress exists (i.e., to prove Theorem 4), we use
K12:17 another tool in rigidity called the Maxwell-Cremona theorem. Before we can apply this tool,
K12:18 we need to transform the framework $G_A(\mathbf{p})$ into a planar framework $G'_A(\mathbf{p}')$. (Refer to the
K12:19 framework on the right of Figure 6.) We introduce new vertices at all intersection points
K12:20 between edges of $G_A(\mathbf{p})$. We subdivide every bar and strut at every vertex through which
K12:21 it goes, be it an existing vertex of $G_A(\mathbf{p})$ or a newly added intersection vertex. Overlapping
K12:22 collinear edges will result in multiple edges; such multiple are merged into one edge. We
K12:23 define the resulting framework $G'_A(\mathbf{p}')$ to have bars precisely covering the bars of $G_A(\mathbf{p})$. All
K12:24 the other edges of $G'_A(\mathbf{p}')$ are struts. $G'_A(\mathbf{p}')$ is *planar* in the sense that two edges (bars or
K12:25 struts) intersect only at a common endpoint.

K12:26 A natural concern is that the added vertices in this modification introduce additional
K12:27 freedom in finding infinitesimal motions, so they may not transfer directly to infinitesimal
K12:28 motions in the original framework. Nonetheless, the planar framework $G'_A(\mathbf{p}')$ is effectively
K12:29 equivalent to the original framework $G_A(\mathbf{p})$ in the sense of equilibrium stresses. Indeed, the

following stronger statement holds. We call a stress *outer-zero* if the only edges that carry a nonzero stress are edges of convex cycles and edges interior to convex cycles. Otherwise, an edge exterior to all convex cycles carries a nonzero stress, and we call the stress *outer-nonzero*.

Lemma 4 *If $G_A(\mathbf{p})$ has a nonzero proper equilibrium stress ω, then $G'_A(\mathbf{p}')$ has an outer-nonzero proper equilibrium stress ω'.*

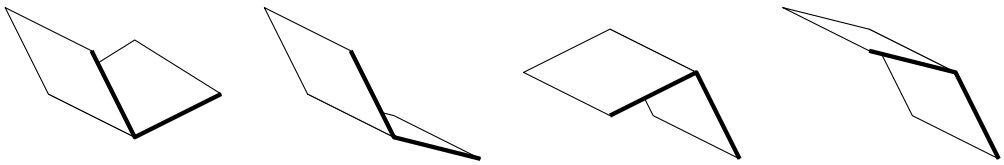
Proof: During the modifications to $G_A(\mathbf{p})$ that made $G'_A(\mathbf{p}')$, we modify ω to make ω' as follows. When we subdivide an edge $\{i, j\}$ with stress ω_{ij}, each edge $\{k, l\}$ of the subdivision gets the stress $\omega_{ij}\|p_i - p_j\|/\|p_k - p_l\|$. (The ratio of lengths is necessary because ω_{ij} is a weight, and the actual force comes from scaling by the length of the edge $\{i, j\}$; see (3).) When merging several edges, we add the corresponding stresses. The resulting stress is in equilibrium because edges meet in opposing pairs at the added vertices, and because summation preserves force. The stress is also proper because a strut in $G'_A(\mathbf{p}')$ is made up only of struts from $G_A(\mathbf{p})$, and the sum of nonnegative numbers is nonnegative. It only remains to check that positive and negative stresses do not completely cancel during the merging process, and that the stress is furthermore outer-nonzero.

First we prove that some strut $\{i, j\}$ of $G_A(\mathbf{p})$ carries a negative stress. In other words, $G_A(\mathbf{p})$ cannot be stressed only on its bars; in particular, a framework consisting exclusively of arcs, cycles, and triangulated convex cycles cannot carry a nonzero stress. This follows because, in any such bar framework, there is a vertex $\mathbf{v}$ with degree at most two; in particular, every triangulated convex cycle has a degree-two vertex (an ear). Because the framework is reduced, the two bars incident to $\mathbf{v}$ are not parallel, so these two bars cannot carry stress while satisfying equilibrium at $\mathbf{v}$. Removing them and proceeding inductively with the rest of the framework, we conclude that the stress is zero on the whole bar framework. Hence, the bars alone cannot carry a nonzero stress, so some strut $\{i, j\} \in G_A(\mathbf{p})$ must have a nonzero stress.

The conditions of Theorem 3 enforce that no angles at vertices of the arc-and-cycle set are π or 0: an angle of π would create a straight subarc of two bars (contradicting the assumption that the framework is reduced), and an angle of 0 would violate simplicity. Thus, no strut of $G_A(\mathbf{p})$ is completely covered by bars. Therefore, for the strut $\{i, j\}$ of $G_A(\mathbf{p})$ that carries a negative stress, some portion of it in $G'_A(\mathbf{p}')$ will also have a negative stress, because a negative stress can only be canceled by a stress on a bar. In particular, ω' must be nonzero.

Furthermore, if the strut $\{i, j\}$ is exterior to all convex cycles in A, we have that ω' is outer-nonzero. Now suppose that $\{i, j\}$ is partially interior to convex cycles in A (by construction, the strut cannot be entirely within convex cycles of A). Then there is a portion of $\{i, j\}$ with the property that it is incident to a convex cycle and exterior to all convex cycles in A. This portion must be uncovered by bars, because no bar in A has this property, and the only additional bars in $G_A(\mathbf{p})$ are interior to convex cycles. Hence, the corresponding strut in $G'_A(\mathbf{p})$ carries a negative stress, so ω' is outer-nonzero in all cases. □

Thus, to prove that the original framework $G_A(\mathbf{p})$ has only the zero proper equilibrium stress, it suffices to prove that the planar framework $G'_A(\mathbf{p}')$ has only outer-zero proper equilibrium stresses.



(a) Valley (b) Valley (c) Mountain (d) Mountain

Figure 8: Valleys and mountains in a polyhedral terrain. The thick edges indicate the intersection with a vertical plane.

K14:01 ## 3.3 Maxwell-Cremona Theorem

K14:02 To prove that only outer-zero equilibrium stresses exist, we employ the Maxwell-Cremona
K14:03 correspondence between equilibrium stresses in planar frameworks and three-dimensional
K14:04 polyhedral graphs that project onto these frameworks. When the vertices and edges of a
K14:05 planar framework are removed from the plane the resulting connected regions are called the
K14:06 *faces* of the framework. A *polyhedral graph* or *polyhedral terrain* Γ comes from lifting a planar
K14:07 framework into three dimensions—that is, assigning a z coordinate (positive or negative) to
K14:08 each vertex in the framework—such that the vertices of each face of the framework (including
K14:09 the exterior face) remain coplanar. Thus, each face of the framework lifts to a planar polygon
K14:10 in 3-space. The polyhedral surface Γ is then the graph of a piecewise-linear continuous
K14:11 function of two variables that is linear on the faces determined by $G'_A(\mathbf{p}')$.

K14:12 Consider an edge $\{i, j\}$ in a planar framework, separating faces F and F'. We distinguish
K14:13 whether this edge lifts in Γ to a "valley," "mountain," or "flat" edge according to its dihedral
K14:14 angles; see Figure 8. More formally, let $z(\mathbf{p}) = \mathbf{a} \cdot \mathbf{p} + b$ for $\mathbf{p} in F$ and $z(\mathbf{p}) = \mathbf{a}' \cdot \mathbf{p} + b'$
K14:15 for $\mathbf{p} in F$ be the two linear functions specifying the graph Γ on F and F'. Thus, $\mathbf{a}$ and
K14:16 $\mathbf{a}'$ are vectors in (the dual space of) $\mathbb{R}^2$, and b and b' are real numbers. A straightforward
K14:17 calculation reveals that the vector $\mathbf{a} - \mathbf{a}'$ in $\mathbb{R}^2$ must be perpendicular to the edge $\{i, j\}$:

K14:18 $$\mathbf{a} - \mathbf{a}' = \omega_{ij} \mathbf{e}_{ij}^{\perp} \tag{6}$$

K14:19 where $\mathbf{e}_{ij}^{\perp}$ is a vector in $\mathbb{R}^2$ of the same length as the vector $\mathbf{p}_j - \mathbf{p}_i$, perpendicular to it,
K14:20 and pointing from F towards F'. We call the edge $\{i, j\}$ a *valley* if $\omega_{ij} < 0$, a *mountain* if
K14:21 $\omega_{ij} > 0$, and *flat* if $\omega_{ij} = 0$.

K14:22 These definitions are illustrated in Figure 8. In particular, if two sides of an edge both
K14:23 "go up" in z, as in Figure 8(a), then the edge is a valley; however, the converse does not
K14:24 hold (so a valley might not carry water), as shown in Figure 8(b). Similarly, if the two sides
K14:25 of an edge both "go down" in z (Figure 8(c)), then the edge must be a mountain, but not
K14:26 all mountains have this property (Figure 8(d)).

K14:27 **Theorem 5 (Maxwell-Cremona Theorem)** *(i) For every polyhedral graph Γ that pro-*
K14:28 *jects to a planar bar framework $G(\mathbf{p})$, the stress ω defined by* (6) *forms an equilibrium stress*
K14:29 *on $G(\mathbf{p})$.*

K14:30 *(ii) For every proper equilibrium stress ω in a planar framework $G(\mathbf{p})$, $G(\mathbf{p})$ can be lifted*
K14:31 *to a polyhedral graph Γ such that* (6) *holds for all edges. In particular, edges with positive*
K14:32 *stress lift to valleys, edges with negative stress lift to mountains, and edges with no stress lift*
K14:33 *to flat edges. Furthermore, Γ is unique up to addition of affine-linear functions.*

K15:01 A proof of this result can be found in [Whi82, Glu74, HK92, CW94].

K15:02 ## 3.4 Main Argument

K15:03 The zero equilibrium stress corresponds to a *trivial* polyhedral graph in which all faces are
K15:04 coplanar (i.e., defined by a single linear function). More generally, an outer-zero equilibrium
K15:05 stress corresponds to an *outer-flat* polyhedral graph that is flat on every edge exterior to
K15:06 all convex cycles. Therefore, to prove that all equilibrium stresses of the planar framework
K15:07 are outer-zero, and hence prove Theorem 4, it suffices to show that all polyhedral graphs
K15:08 projecting to the planar framework are outer-flat.

K15:09 More precisely, consider any polyhedral graph Γ that projects to the planar framework
K15:10 $G'_A(\mathbf{p}')$ with the property that all struts are lifted to valleys or flat edges (because struts can
K15:11 carry only negative or zero stress), and bars are lifted to valleys, mountains, or flat edges.
K15:12 We need to show that nonflat edges can only appear within or on the boundary of convex
K15:13 cycles. Because we may add an arbitrary affine-linear function, we may conveniently assume
K15:14 that the exterior face of Γ is on the xy-plane. Thus the problem is to show that Γ does not
K15:15 lift off the xy-plane any vertex of $G'_A(\mathbf{p}')$ except possibly vertices interior to convex cycles
K15:16 of A.

K15:17 One simple fact that we will need is the following:

K15:18 **Lemma 5** *Any mountain in the polyhedral graph Γ projects to a bar in the planar framework*
K15:19 *$G'_A(\mathbf{p}')$.*

K15:20 **Proof:** A strut can only carry negative or zero stress, so by Theorem 5 it can only lift to a
K15:21 valley or a flat edge. □

K15:22 We now come to the heart of our proof, the proof of Theorem 6. It is here that we finally
K15:23 show that the stress must be outer-zero, by looking at the maximum of any Maxwell-Cremona
K15:24 lift. The following statement immediately implies Theorem 4 and hence Theorem 3:

K15:25 **Theorem 6** *Let M denote the region in the xy-plane where the z value attains its maximum*
K15:26 *in the polyhedral graph Γ. Then M contains every face of the planar framework $G'_A(\mathbf{p}')$ that*
K15:27 *is exterior to all convex cycles.*

K15:28 M is a nonempty union of faces, edges, and vertices of the planar framework $G'_A(\mathbf{p}')$.
K15:29 Consider the boundary ∂M, which may be empty if M fills the whole plane. Because points
K15:30 in M lift to maximum height, all edges of ∂M must lift to mountains. Thus by Lemma 5,
K15:31 all edges of ∂M must be bars in the framework. Hence, ∂M consists of disjoint vertices,
K15:32 paths of edges, and complete cycles of the arc-and-cycle set, together with a subset of the
K15:33 triangulations of the convex components. Figure 9 shows typical cases of all possibilities.
K15:34 We will show that the only case in Figure 9 that can actually occur is (ℓ), in which ∂M
K15:35 includes a convex cycle and M includes the local exterior of that cycle (and possibly some
K15:36 of its interior).

K15:37 Our main technique for arriving at a contradiction in all cases except (ℓ) is that of *slicing*
K15:38 the polyhedral graph. Consider a plane Π that is parallel to the xy-plane and just below
K15:39 the maximum z coordinate of Γ. (By "just below" we mean that Π is above all vertices of Γ

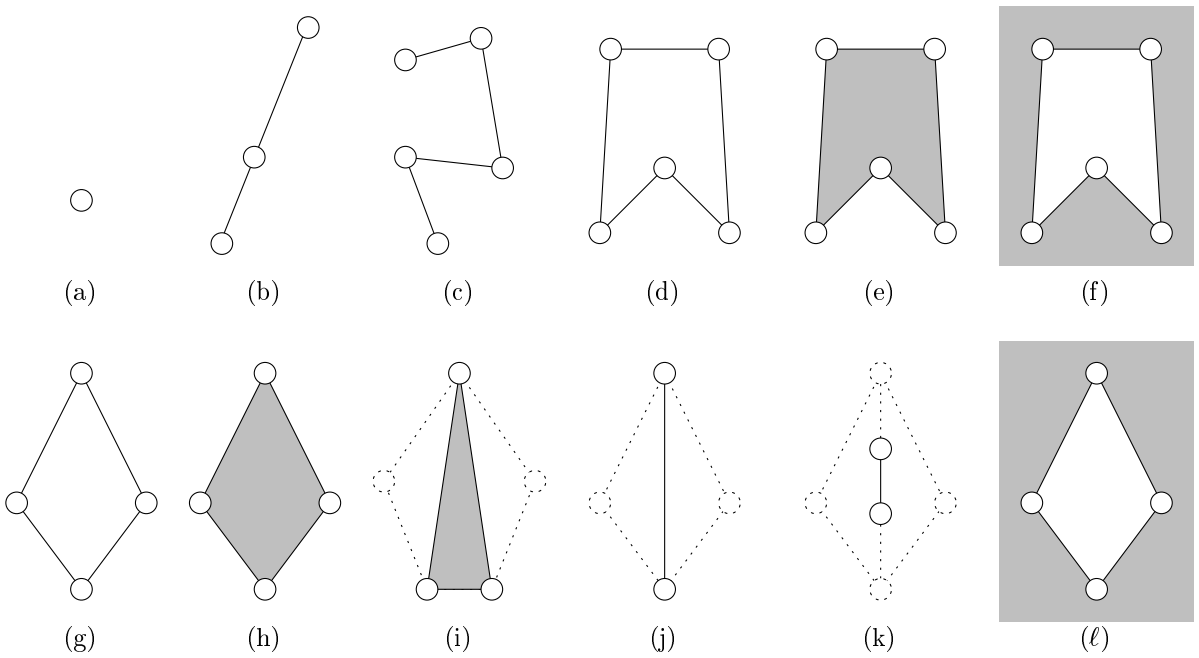


Figure 9: Hypothetical connected components of ∂M and their relation to M. Solid lines are edges of ∂M; white regions are absent from M; and shaded regions are present in M. (a) An isolated vertex. (b) A straight subarc. (c) A nonstraight subarc. (d) A nonconvex cycle. (e) A nonconvex cycle and its local interior. (f) A nonconvex cycle and its local exterior. (g–k) Various situations with a convex cycle. (ℓ) The only possible case: A convex cycle, its local exterior, and possibly some of its interior.

K16:01 not at the maximum z coordinate.) Now take the intersection of Π with the surface Γ, and
K16:02 project this intersection to the xy-plane. The resulting set X is shown in Figure 10 for the
K16:03 various cases.

K16:04 The set X captures several properties of the polyhedral graph Γ. First note that because
K16:05 X is the boundary of a small neighborhood of M in the plane, it is a disjoint union of
K16:06 cycles. It is also polygonal. Each edge of X corresponds to a face of Γ, and each vertex
K16:07 of X corresponds to an edge of Γ. The *angle* at a vertex of X (on the side interior to M)
K16:08 determines the type of edge corresponding to that vertex: the angle is π (straight) if the
K16:09 edge is flat, less than π (convex) if the edge is a mountain, and more than π (reflex) if the
K16:10 edge is a valley.

K16:11 The basic idea is to show that X has "many" convex angles, and apply Lemma 5 to
K16:12 prove that the framework has "too many" bars. The key fact underlying the proof is that
K16:13 the original arc-and-cycle set has maximum bar-degree two: every vertex is incident to at
K16:14 most two bars. In the planar framework $G'_A(\mathbf{p}')$, only vertices $\mathbf{v}$ of convex cycles can have
K16:15 bar-degrees greater than two, and these bars are contained in a convex wedge from $\mathbf{v}$.

K16:16 Our proof deals with all cases at once. To illustrate the essence of the proof, we first
K16:17 describe it for a subcase of case (a) in which one component of ∂M is a single vertex $\mathbf{v}$ that
K16:18 does not belong to a convex cycle. In this case, one component of X is a planar polygonal
K16:19 cycle P that is star-shaped around $\mathbf{v}$, that is, every point on the boundary of P is visible

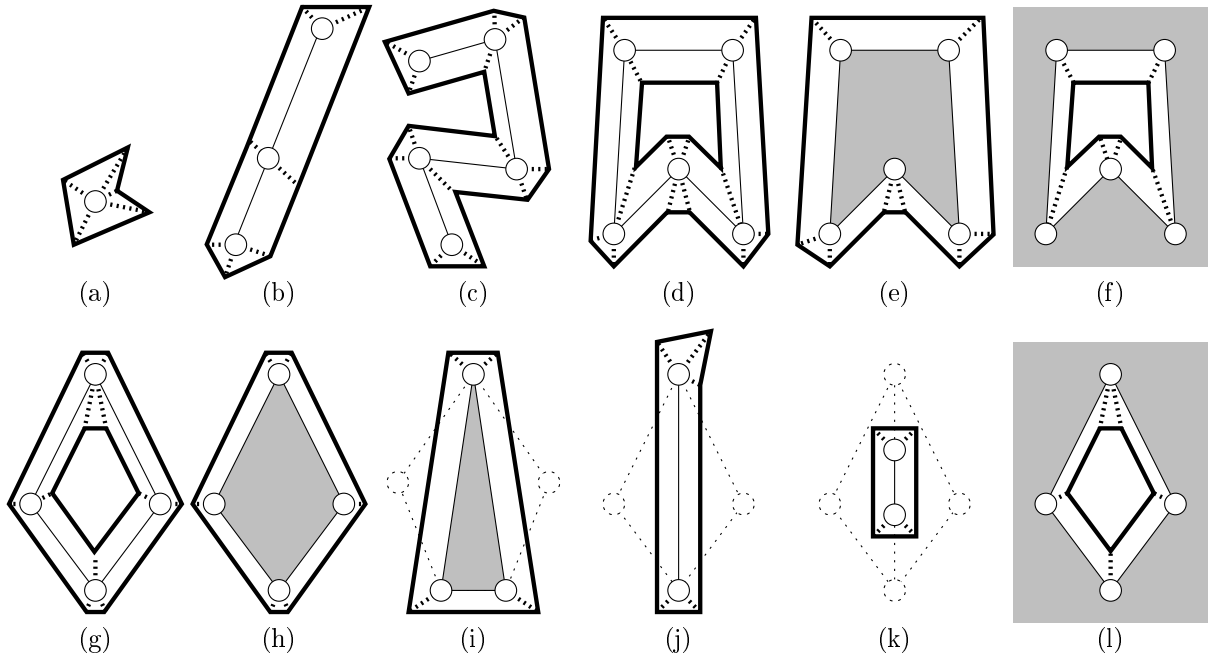


Figure 10: Slicing the polyhedral graph Γ just below the maximum z coordinate, in each case corresponding to those in Figure 9. Thick lines denote the slice intersection X, and thick dotted lines denote the corresponding edges in the polyhedral graph Γ.

K17:01 from **v**. In particular, P is a planar polygon with positive area and no self-intersections.
K17:02 Every such polygon has at least three convex vertices. (To see this, define the *turn angle* at
K17:03 a vertex to be π minus the interior angle, so it is positive for convex angles and negative for
K17:04 reflex angles, and always strictly between $-\pi$ and π. Because the turn angles of a planar
K17:05 polygon sum to 2π, and the maximum turn angle of a vertex is $< \pi$, every polygon has at
K17:06 least three vertices with positive turn angles.) These three convex vertices correspond to
K17:07 three mountains in Γ, all incident to a common vertex v. By Lemma 5, there are three bars
K17:08 incident to v, contradicting the maximum-degree-two property for vertices not on convex
K17:09 cycles. Therefore, this subcase of case (a) cannot exist.
K17:10 The general reason that cases (a–k) cannot exist is the following:

K17:11 **Lemma 6** *Let* **v** *be a vertex on the boundary of M, and let $b_1, \ldots, b_k$ be the bars incident*
K17:12 *to* **v** *in cyclic order. Consider a small disk D around* **v**.

K17:13 (1) *If there is an angle of at least π at* **v** *between two consecutive bars, say b_i and b_{i+1},*
K17:14 *then the pie wedge P of D bounded by b_i and b_{i+1} belongs to M (see Figure* 11*).*

K17:15 (2) *If there are no bars or only one bar incident to* **v***, i.e., $k \leq 1$, then the entire disk D*
K17:16 *belongs to M. (This can be viewed as a special case of* (1)*.)*

K17:17 **Proof:** (1) Because there are no bars in the pie wedge P, and hence no edges of ∂M in P,
K17:18 P must be completely contained in or disjoint from M. Assume to the contrary that P is
K17:19 disjoint from M. Then the intersection of the slice X with the pie wedge P is a star-shaped

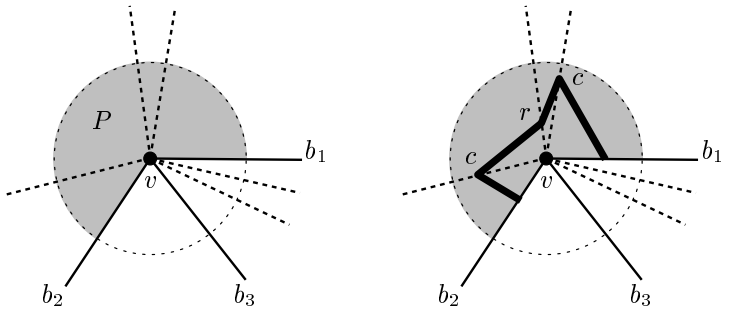


Figure 11: (Left) Illustration of Lemma 6: solid lines are bars, dotted lines are struts, and the shaded pie wedge P must be contained in M. (Right) Illustration of the proof; the thick lines form the portion of X inside P, and the symbols c and r denote convex and reflex vertices, respectively.

K18:01 polygonal arc around $\mathbf{v}$ starting from a point on b_i and ending at a point on b_{i+1}. By the
K18:02 properties of X, convex vertices on this arc correspond to mountains emanating from $\mathbf{v}$, and
K18:03 reflex vertices correspond to valleys emanating from $\mathbf{v}$. Because the angle of the pie wedge
K18:04 P is at least π, the arc must have at least one convex vertex in P. (The turn angles along
K18:05 the arc must sum to a positive number, so some vertex must have a positive turn angle.) By
K18:06 Lemma 5, there must be a bar in P, a contradiction.

K18:07 (2) If $k = 1$, the bars b_i and b_{i+1} coincide, and the same proof applies. The star-shaped
K18:08 polygonal arc becomes a star-shaped polygonal cycle, which must have at least two convex
K18:09 vertices not on $b_i = b_{i+1}$. If $k = 0$, X also has a star-shaped polygonal cycle around $\mathbf{v}$, which
K18:10 must have at least three convex vertices, yet $\mathbf{v}$ has no incident bars. □

K18:11 Note that this lemma applies to every vertex in our planar framework $G'_A(\mathbf{p}')$, because
K18:12 every vertex either has bar-degree at most two or is a vertex of a convex cycle, and in either
K18:13 case there is a nonconvex angle between two consecutive bars.

K18:14 One can immediately verify that the examples in Figure 10(a–k) contradict Lemma 6. For
K18:15 example, applying the lemma to any vertex of ∂M shows that M should contain a positive
K18:16 two-dimensional area incident to that vertex. This immediately rules out cases (a–d), (g),
K18:17 (j), and (k).

K18:18 A general proof is also easy with Lemma 6 in hand:

K18:19 **Proof (Theorem 6):** Consider first a degree-0 or degree-1 vertex $\mathbf{v}$ in ∂M. (Such a
K18:20 point would appear when M has a component that is an isolated point or an arc of bars.)
K18:21 Because Lemma 6 applies to every vertex of the framework, we know that some positive
K18:22 two-dimensional area in the vicinity of $\mathbf{v}$ belongs to M, contradicting that $\mathbf{v}$ has degree 0 or
K18:23 1 in ∂M. This rules out cases (a–c) and (j–k).

K18:24 It follows that ∂M is a union of cycles. A component of ∂M can be of two kinds:

K18:25 (1) If it is formed from the edges of a convex cycle and its triangulation, Lemma 6 ap-
K18:26 plies to any vertex in it, and we conclude that M contains the face of the framework
K18:27 immediately exterior to the cycle. This rules out cases (g–i).

K18:28 (2) If it consists of a complete nonconvex cycle, we can apply Lemma 6 to some convex
K18:29 vertex and to some reflex vertex (they must both exist), and we conclude that M

contains both the face of the framework immediately interior and the face immediately exterior to the cycle. This rules out cases (d–f).

In the end, the only faces of the framework that can be missing from M are those interior to convex cycles (case (ℓ)). This completes the proof of Theorem 6 and of Theorems 4 and 3. □

4 Global Motion

In this section, we combine the infinitesimal motions into a global motion, thereby proving Theorem 1, the main theorem. In overview, Theorem 3 establishes the existence of *some* direction of motion $\mathbf{v}$. We select a unique vector $\mathbf{v} = f(\mathbf{p})$ for each configuration $\mathbf{p}$ as the solution of a convex optimization problem (7–9). We then set up the differential equation

$$\frac{d}{dt}\mathbf{p}(t) = f(\mathbf{p}(t)).$$

The solution of this differential equation moves the linkage to a configuration where an angle between two bars becomes straight. At this point we merge the two bars and continue with the reduced framework that has one vertex less. This procedure is iterated until the framework is outer-convex and no further expansive motion is possible.

It is convenient for the proof of Theorem 1 to effectively pin an edge in the configuration. Choose any edge, say $\{\mathbf{p}_1, \mathbf{p}_2\}$, that is a bar. During the motion we will arrange matters so that this bar is stationary.

We now go into the details of the proof. We use the following nonlinear minimization problem to define a unique direction $\mathbf{v}$ for every configuration $\mathbf{p}$ of a reduced arc-and-cycle set.

$$\text{minimize} \quad \sum_{i \in V} \|\mathbf{v}_i\|^2 + \sum_{\{i,j\} \in S} \frac{1}{(\mathbf{v}_i - \mathbf{v}_j) \cdot (\mathbf{p}_i - \mathbf{p}_j) - \|\mathbf{p}_j - \mathbf{p}_i\|} \tag{7}$$

$$\text{subject to} \quad (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) > \|\mathbf{p}_j - \mathbf{p}_i\|, \quad \text{for } \{i,j\} \in S \tag{8}$$

$$(\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) = 0, \quad \text{for } \{i,j\} \in B \tag{9}$$

$$\mathbf{v}_1 = \mathbf{v}_2 = 0 \tag{10}$$

The restrictions (8) place a uniform constraint on the growth of the struts S: the derivative of the length of each strut must be larger than 1. Since the system (2) is homogeneous, the system (8–9) is feasible for any choice of right-hand sides in (8). This particular right-hand side has been chosen for convenience in the proof.

The objective function (7) includes the norm of $\mathbf{v}$ as a quadratic term, plus a barrier-type penalty term that keeps the solution away from the boundary (8) of the feasible region. This penalty term is necessary to achieve a smooth dependence of the solution on the data. The objective function is strictly convex because it is a sum of strictly convex functions, of the form x^2 for a variable x, and convex functions, of the form $1/f(x_1, x_2, x_3, x_4)$ where f is an affine function in four variables that is guaranteed to be positive. Because the objective

K20:01 function is strictly convex, and it goes to infinity if $\mathbf{v}$ increases to infinity or approaches the
K20:02 boundary of condition (8), there is a unique solution $\mathbf{v}$ for every $\mathbf{p}$; we denote this solution
K20:03 by $f(\mathbf{p})$.

K20:04 The function $f(\mathbf{p})$ is defined on an open set $U \subset \mathbb{R}^{2n}$ that is characterized by the
K20:05 conditions of Theorem 3: no angles are $0°$ or $180°$, no vertex touches a bar, and at least one
K20:06 cycle is nonconvex or at least one open arc is not straight.

K20:07 4.1 Smoothness

K20:08 We will show that f is differentiable in the domain U. This follows from the stability theory
K20:09 of convex programming under equality constraints, as applied to parametric optimization
K20:10 problems of the type

K20:11 $$\min\{\, g(p,x) : x \in \Omega(p) \subseteq \mathbb{R}^n,\ A(p)x = b(p) \,\} \tag{11}$$

K20:12 where $A(p)$ is an $m \times n$ matrix and $b(p)$ is an m-vector. The objective function g, the domain
K20:13 $\Omega(p)$, and the linear constraints (A, b) depend on a parameter p that ranges over an open
K20:14 region $U \subseteq \mathbb{R}^k$.

K20:15 For such an optimization problem, the following lemma establishes the smooth depen-
K20:16 dence of the solution vector on the problem-definition data $A(p)$ and $b(p)$.

K20:17 **Lemma 7** *Suppose that the following conditions are satisfied in the optimization problem* (11).

K20:18 (a) *The objective function $g(p,x)$ is twice continuously differentiable and strictly convex as*
K20:19 *a function of $x \in \Omega(p)$, with a positive definite Hessian H_g, for every $p \in U$.*

K20:20 (b) *The domain $\Omega(p)$ is an open set, for every $p \in U$.*

K20:21 (c) *The rows of the constraint matrix $A(p)$ are linearly independent, for every $p \in U$.*

K20:22 (d) *The problem-definition data $A(p)$ and $b(p)$ and the gradient ∇g of g with respect to x*
K20:23 *are continuously differentiable in p, for $p \in U$.*

K20:24 (e) *The optimum point $x^*(p)$ of the problem* (11) *exists for every $p \in U$ (and is unique,*
K20:25 *by* (a)).

K20:26 *Then $x^*(p)$ is continuously differentiable in U.*

K20:27 **Proof:** The proof is based on the implicit function theorem and follows the standard lines
K20:28 of the proofs in this area; cf. [BS74, in particular Section 4] or [Fia76, Theorem 2.1] for
K20:29 more general theorems where inequalities are also allowed. For the benefit of the reader,
K20:30 we sketch the proof here. From (a) and (e) it follows that x^* can be found as part of the
K20:31 unique solution (x^*, λ) of the system of equations $h(p, x, \lambda) = 0$ that represents the first-order
K20:32 necessary optimality conditions. Specifically, λ is a k-element vector of Lagrange multipliers,
K20:33 and $h\colon U \times \mathbb{R}^{n+k} \to \mathbb{R}^{n+k}$ is given by

K20:34 $$h = \begin{pmatrix} \nabla g - \lambda^{\mathrm{T}} A^{\mathrm{T}} \\ Ax - b \end{pmatrix}.$$

K21:01 The implicit function theorem guarantees the local existence of $x(p)$ (and $\lambda(p)$) as a solution
K21:02 of $h(p, x(p), \lambda(p)) = 0$ in a neighborhood of x if the Jacobian $J = \partial h/\partial(x, \lambda)$ is an invertible
K21:03 matrix for every $p \in U$. Moreover, differentiability of $x(p)$ is ensured if h is continuously
K21:04 differentiable. The Jacobi matrix is given by

K21:05 $$J = \frac{\partial h(p, x, \lambda)}{\partial(x, \lambda)} = \begin{pmatrix} H_g & A^{\mathrm{T}} \\ A & 0 \end{pmatrix}.$$

K21:06 Differentiability of h follows from assumption (d); we only have to check that J is invertible.
K21:07 By assumption (a), H_g is positive definite and hence invertible. Thus

K21:08 $$\det J = \det H_g \cdot \det(-AH_g^{-1}A^{\mathrm{T}}).$$

K21:09 By assumption (c), A has full row rank, and because H_g is positive definite, so is $AH_g^{-1}A^{\mathrm{T}}$.
K21:10 Therefore $\det J \neq 0$. □

K21:11 **Lemma 8** *f is differentiable on U.*

K21:12 **Proof:** The objective function is the sum of the quadratic function $\sum \|\mathbf{v}_i\|^2$, which has
K21:13 a positive definite (constant) Hessian, and additional smooth convex terms, and therefore
K21:14 assumption (a) of Lemma 7 holds, as well as the part of assumption (d) regarding g. The
K21:15 feasible domain Ω is defined by the inequalities (8), and because the inequalities are strict,
K21:16 Ω is an open set, so assumption (b) holds. The problem-definition data A and b are defined
K21:17 by the linear constraints (9) and (10). Both are clearly continuously differentiable, verifying
K21:18 the remaining half of assumption (d). Assumption (e) follows from the existence of an
K21:19 infinitesimal motion (Theorem 3).

K21:20 It only remains to check assumption (c), the linear independence of the defining equations.
K21:21 Note that (10) implies (9) for the edge $\{1, 2\}$, so the latter equation is redundant and can
K21:22 be omitted without changing the problem. In order to show that the remaining equations
K21:23 of the system (9–10) are linearly independent, we check that they have a solution for any
K21:24 choice of right-hand sides. We prove this by induction on the number of vertices. We select
K21:25 a vertex $i \notin \{1, 2\}$ that is incident to at most two bars $\{i, j\}, \{i, k\}$. The existence of such
K21:26 a vertex follows from an extension of an argument in the proof of Lemma 4: a framework
K21:27 consisting exclusively of arcs, cycles, and triangulated convex cycles, contains at least two
K21:28 non-adjacent vertices with degree at most two (unless the framework consists of a single
K21:29 triangle; in that case there are three vertices of degree two).

K21:30 If the vertex i is incident to two bars, they cannot be parallel. Thus, the corresponding
K21:31 unknown vector $\mathbf{v}_i$ appears in at most two equations in which the scalar products with two
K21:32 vectors $\mathbf{p}_i - \mathbf{p}_j$ and $\mathbf{p}_i - \mathbf{p}_k$ are taken; because these vectors are not parallel, there is always
K21:33 a solution for $\mathbf{v}_i$ regardless of the values of the other variables. If follows that any solution
K21:34 of the system without the variable $\mathbf{v}_i$ can be extended to $\mathbf{v}_i$. The reduced system is of the
K21:35 same form as the original system, and therefore we can apply induction. □

4.2 Solving the Differential Equation and Proving Theorem 1

Differentiability of f on U is sufficient to ensure that the initial-value problem

$$\frac{d}{dt}\mathbf{p}(t) = f(\mathbf{p}(t)), \quad \mathbf{p}(0) = \mathbf{p}_0 \tag{12}$$

has a (unique) *maximal solution* $\mathbf{p}(t)$, $0 \le t < T$, that cannot be extended beyond some positive bound $T \le \infty$; see for example [Wal96, Section II.XXI]. This means that one of three cases occurs:

(a) $\mathbf{p}(t)$ exists for all t, i.e., $T = \infty$.

(b) T is finite, and $\mathbf{p}(t)$ becomes unbounded as $t \to T$.

(c) T is finite, and $\mathbf{p}(t)$ approaches the boundary of U as $t \to T$.

The last case (c) is the case we want: at the boundary of U, some angle becomes straight, and we can reduce the linkage. The other two cases must be avoided: In case (a), the motion of the framework slows down and never reaches the limit of an outer-convex configuration. Case (b) can arise only with multiple disjoint components: the components could repel and fly away from each other faster than they straighten or convexify, thus never reaching an outer-convex configuration.

Case (a) can be excluded very easily. By assumption, the bar-and-strut framework $G_A(\mathbf{p})$ has some strut $\{i, j\}$ between two points in the same component of the bar framework; their distance increases at least with rate 1, by (8), but it is bounded from above because i and j are linked by a sequence of bars. It follows that the solution cannot exist indefinitely and T must be finite.

To deal with case (b), we apply the following observation.

Lemma 9 *If an arc-and-cycle set A is not separated, then its diameter is bounded by the total length of all edges of A.* □

This can be proved easily by induction on the number of components of A. Since some vertices are pinned at the origin, case (b) implies that A must become separated by a line L. At this point, we stop the motion defined by (12) and treat the two parts into which L separates the components of A independently and recursively. Unfortunately, the guarantee for the expansive property between different members of the partition is lost.

We are left with case (c). We show that $\mathbf{p}(t)$ converges as $t \to T$. Observe that all pairwise distances of vertices $\mathbf{p}(t)$ are monotonically increasing, and by condition (10) $\mathbf{p}_1$ and $\mathbf{p}_2$ are fixed during the motion. Thus, all other vertices are determined up to reflection (through $\mathbf{p}_1\mathbf{p}_2$), so the whole configuration is determined up to reflection. Thus $\mathbf{p}(t) \to \mathbf{p}$ for some configuration $\mathbf{p}$ as $t \to T$. The configuration $\mathbf{p}$ is on the boundary of U and thus must have some vertex with a straight angle. Then we inductively continue with a simpler linkage. This completes the proof of Theorem 1. □

In this proof, the easy exclusion of possibility (b), that the motion becomes unbounded, depends crucially on the fact that the diameter of A is bounded, and the motion is stopped as soon as there is a separating line. Boundedness is valid even without this precaution, as stated in the following lemma.

K23:01 **Lemma 10 (Boundedness Lemma)** *Let* $\mathbf{p}(t)$ *be the motion given by the differential equa-*
K23:02 *tion* (12)*, where* $\mathbf{v} = f(\mathbf{p})$ *is given as the solution of the optimization problem* (7–9)*. Then*
K23:03 *the motion of every vertex* i *is bounded:*

K23:04 $$\|\mathbf{p}_i(t) - \mathbf{p}_i(0)\| \leq \int_0^t \|\mathbf{v}_i(t)\|\, dt \leq K_{B,S,\mathbf{p}_0}(t),$$

K23:05 *where* $K_{B,S,\mathbf{p}_0}(t)$ *is an explicit function of* t *that depends only on the combinatorial structure*
K23:06 *of the arc-and-cycle set* (B *and* S) *and on the initial configuration* $\mathbf{p}_0$*.*

K23:07 Note that the definition of $\mathbf{v}$ does not involve the pinning constraints (10). The lemma
K23:08 implies that it is not necessary to treat separated components separately. The proof of the
K23:09 lemma is complicated, and the interested reader is referred to [CDR02a].

K23:10 4.3 Alternative Approaches

K23:11 There are many ways to select a local motion $\mathbf{v}$ among the feasible local motions whose
K23:12 existence is guaranteed by Theorem 3. We have chosen one possibility in Equations (7–10)
K23:13 that is most convenient for the proof.

K23:14 As a possible alternative approach, we might consider a *linear* programming problem,
K23:15 with some arbitrary artificial linear objective function $\mathbf{c}$, and some linear normalization
K23:16 condition to ensure boundedness, pinning down some bar $(i_1, i_2) \in B$:

K23:17 $$\begin{aligned} \text{minimize} \quad & \textstyle\sum_{i\in V} \mathbf{c}_i \cdot \mathbf{v}_i \\ \text{K23:18 subject to} \quad & (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) = 0 \text{ for } \{i,j\} \in B, && (13) \\ \text{K23:19} \quad & (\mathbf{v}_j - \mathbf{v}_i) \cdot (\mathbf{p}_j - \mathbf{p}_i) \geq 0 \text{ for } \{i,j\} \in S, && (14) \\ \text{K23:20} \quad & \textstyle\sum_{i\in V} \mathbf{d}_i \cdot \mathbf{v}_i = 1, && (15) \\ \text{K23:21} \quad & \mathbf{v}_{i_1} = \mathbf{v}_{i_2} = 0, && (16) \end{aligned}$$

K23:22 We have given up *strict* expansiveness in (14), The set of vectors given by (13), (14), and
K23:23 (16) forms a polyhedral cone C. Theorem 3 guarantees that there are nonzero solutions.
K23:24 One can check that the pinning constraints (16) ensure that the cone is pointed. The idea
K23:25 is now to use an extreme ray of the cone C for the motion. A vector $\mathbf{d}$ can be found which
K23:26 ensures that the feasible set (13–16) is a bounded set. Any basic feasible solution of the linear
K23:27 program will correspond to an extreme ray of the cone C. It will have a few inequalities of
K23:28 (14) fulfilled with equality. The resulting framework obtained by inserting "artificial" bars
K23:29 corresponding to the nonbasic inequalities of (14), will have a unique vector of velocities $\mathbf{v}$
K23:30 subject to the normalization constraint (15). This means that the framework is a *mechanism*,
K23:31 allowing one degree of freedom; as the mechanism follows this forced motion, all nonfixed
K23:32 distances will increase, at least for some time.

K23:33 So one follows the paradigm of parametric linear programming: The optimal basic feasible
K23:34 solution will continue to remain feasible as the coefficients $\mathbf{p}_i$ in the constraints (14) change
K23:35 smoothly. At some point, one of these constraints will threaten to become violated: this is
K23:36 the time to make a *pivot*, exchanging one of the artificial bars for a new one which allows
K23:37 the motion to be continued.

K24:01 The above discussion has ignored several issues, such as possible degeneracy of the linear
K24:02 program. However, this approach might be more attractive from a conceptual, as well as
K24:03 practical, point of view.
K24:04 Streinu [Str00] has found a class of such mechanisms, so-called *pseudo-triangulations*.
K24:05 These structures have several nice properties; for example, they form a planar framework
K24:06 of bars. Streinu [Str00] has proved that a polygonal arc can be opened by a sequence of
K24:07 at most $O(n^2)$ motions, where each motion is given by the mechanism of a single pseudo-
K24:08 triangulation.
K24:09 The polyhedral cone C mentioned above has been more thoroughly investigated in Rote,
K24:10 Santos, and Streinu [RSS02]. In particular, they studied the so-called *expansion cone*, which
K24:11 is simply defined by the equations (14) for *all* pairs of points i and j. The extreme rays of
K24:12 this cone are closely related to the set of all pseudo-triangulations of a point set.

K24:13 4.4 Comparison of Approaches

K24:14 The approach based on mechanisms might avoid some of the numerical difficulties associated
K24:15 with solving the optimization problem (7–9). For example, consider a spiral n-bar arc wind-
K24:16 ing around a unit square in layers of thickness ε (Figure 12). Basically, a strut deep inside
K24:17 the spiral cannot increase in length quickly before an outer strut increases significantly. But
K24:18 in the solution of (8–9), the inner strut lengths must increase at unit speed; a rough estimate
K24:19 shows that this causes the outermost vertex to move with an *exponential* speed of at least
K24:20 $(1/\varepsilon)^{n/4}$, as $\varepsilon \to 0$. On the other hand, the "natural" solution of unwinding the spiral one
K24:21 bar at a time fits nicely into the setup of mechanisms and the parametric linear program
K24:22 approach.

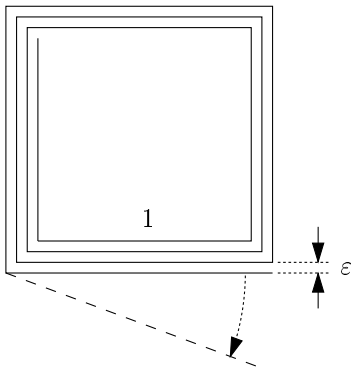


Figure 12: An arc that is numerically difficult to unfold.

K24:23 Our proof has certain nonconstructive aspects: the direction $\mathbf{v}$ of movement is specified
K24:24 implicitly as the solution of an optimization problem, and the global motion arises as the
K24:25 solution of a differential equation. Both of these items are numerically well-understood,
K24:26 and our approach lends itself to a practical implementation. Indeed, we implemented our
K24:27 approach to produce animations such as Figure 1. However, this does not necessarily lead to
K24:28 a finite algorithm in the strict sense. The optimization problem (7–9), having an objective
K24:29 function which is rational, can in principle be solved exactly by solving the system $h(p, x, \lambda) =$

K25:01 0 of algebraic equations as in Lemma 7. The differential equation cannot be solved explicitly,
K25:02 but it may be possible to bound the convergence and solve the differential equation up to a
K25:03 given error bound.

K25:04 Because the motions of a mechanism are described by algebraic equations, Streinu's
K25:05 algorithm leads to a finite algorithm for a digital computer, at least in principle. It remains
K25:06 to be seen how a practical implementation competes with our approach; in any case, as an
K25:07 algorithm for a direct realization of the motion by a mechanical device, Streinu's algorithm
K25:08 appears attractive.

K25:09 On the other hand, our nonlinear programming approach might be preferable because it
K25:10 produces a "canonical" movement. As a consequence of this, any symmetry of the starting
K25:11 configuration is preserved (see Corollary 2 in the next section).

5 Additional Properties and Related Problems

K25:12 5.1 Symmetry

K25:13 We show that the deformation that we have defined in Section 4 preserves any symmetries
K25:14 that the original configuration might have. We say that the arc-and-cycle set A has some
K25:15 group H of congruences of the plane as *symmetry group* if each element of H permutes the
K25:16 vertices and edges of A.

K25:17 **Corollary 2** *If an arc-and-cycle set has a symmetry group H, then there is a piecewise-*
K25:18 *differentiable proper motion to an outer-convex configuration; the motion is expansive until*
K25:19 *the linkage becomes separated, and the symmetry group H is preserved during the motion.*

K25:20 **Proof:** Because A has finitely many vertices and edges, H must be finite, so the Affine
K25:21 Fixed Point Theorem implies that there must be a point fixed by all elements of H. Let this
K25:22 point be the origin, and let $\mathbf{p}_1$ be any vertex of the configuration distinct from the origin.
K25:23 Consider the infinitesimal motion defined by the conditions (7), (8), and (9) but not (10).
K25:24 There is a unique solution $\mathbf{v}$ to this minimization problem. This solution must be symmetric
K25:25 with respect to the symmetry group H. If not, then the action of some element of H takes
K25:26 $\mathbf{v}$ to a distinct solution, contradicting the uniqueness of the solution. There is now a unique
K25:27 infinitesimal rotation that we can add to $\mathbf{v}$ so that $\mathbf{p}_1$ and $\mathbf{v}_1$ are parallel. This still maintains
K25:28 the symmetry of the infinitesimal motion $\mathbf{v}$. Now it is clear that the limit exists as before
K25:29 in the proof of Theorem 1, and the symmetry of H is preserved. □

K25:30 5.2 Increasing Area

K25:31 A natural question is whether every expansive motion increases the area bounded by each
K25:32 polygonal cycle. The answer turns out to be yes, but the proof is difficult from elementary
K25:33 methods. A simple example that helps motivate why this problem is nontrivial is an obtuse
K25:34 triangle: if the base edge increases in length (as a strut) and the others remain the same
K25:35 length (as bars), then the area decreases. The cycle of bars in a polygonal cycle is therefore
K25:36 crucial but difficult to exploit except with our theory of expansive motions.

K26:01 First we show how to extend any given expansive infinitesimal motion to any point in
K26:02 the plane, which is of interest in its own right.

K26:03 **Lemma 11** *Consider an infinitesimal motion* $\mathbf{v}$ *on points* $\mathbf{p}_1, \ldots, \mathbf{p}_n$ *in* $\mathbb{R}^d$*, and suppose*
K26:04 *that the motion is* expansive*, i.e.,* $(\mathbf{p}_i - \mathbf{p}_j) \cdot (\mathbf{v}_i - \mathbf{v}_j) \geq 0$ *for all* i, j*. Then the infinitesimal*
K26:05 *motion* $\mathbf{v}$ *can be extended to another point* $\mathbf{p}_0$ *in* $\mathbb{R}^d$ *and remain expansive. Furthermore,*
K26:06 *the new expansiveness inequalities are all strict unless* $\mathbf{p}_0$ *is in the convex hull of a subset of*
K26:07 *points on which the original infinitesimal motion is* trivial*, i.e., describes a rigid motion.*

K26:08 **Proof:** We have two proofs of this statement: the first proof is a calculation and the second
K26:09 proof uses Helly's Theorem.

K26:10 We first consider the case where we want to prove strict expansiveness. By the Farkas
K26:11 lemma, the desired inequalities $(\mathbf{v}_0 - \mathbf{v}_i) \cdot (\mathbf{p}_0 - \mathbf{p}_i) > 0$, can be fulfilled by some unknown
K26:12 vector $\mathbf{v}_0$ if and only if the dual system

K26:13
$$\sum_{i=1}^{n} \lambda_i (\mathbf{p}_0 - \mathbf{p}_i) = 0 \tag{17}$$

K26:14
$$\sum_{i=1}^{n} \lambda_i \mathbf{v}_i \cdot (\mathbf{p}_0 - \mathbf{p}_i) \geq 0 \tag{18}$$

K26:15
$$\lambda_i \geq 0$$

K26:16 has no solution except the trivial solution $\lambda \equiv 0$. In order to find a contradiction, suppose
K26:17 that a nontrivial solution λ exists. Without loss of generality, we may assume

K26:18
$$\sum_{i=1}^{n} \lambda_i = 1.$$

K26:19 Then we get from (17) a representation of $\mathbf{p}_0$ as a convex combination

K26:20
$$\mathbf{p}_0 = \sum_{i=1}^{n} \lambda_i \mathbf{p}_i. \tag{19}$$

K26:21 Substituting this into (18) yields

K26:22
$$\sum_{i=1}^{n} \sum_{j=1}^{n} \lambda_i \lambda_j (\mathbf{v}_i \cdot \mathbf{p}_j) - \sum_{i=1}^{n} \lambda_i (\mathbf{v}_i \cdot \mathbf{p}_i) \geq 0. \tag{20}$$

K26:23 On the other hand, multiplying the given inequalities

K26:24
$$\mathbf{v}_i \cdot \mathbf{p}_i - \mathbf{v}_i \cdot \mathbf{p}_j - \mathbf{v}_j \cdot \mathbf{p}_i + \mathbf{v}_j \cdot \mathbf{p}_j \geq 0 \tag{21}$$

K26:25 by $-\lambda_j \lambda_j / 2$ and summing them over $i, j = 1, \ldots, n$ (including the trivial cases for $i = j$)
K26:26 yields

K26:27
$$\sum_{i=1}^{n} \sum_{j=1}^{n} \lambda_i \lambda_j (\mathbf{v}_i \cdot \mathbf{p}_j) - \sum_{i=1}^{n} \lambda_i (\mathbf{v}_i \cdot \mathbf{p}_i) \leq 0. \tag{22}$$

K27:01 By the assumption of the lemma, we have $\lambda_i > 0$ in (19) for at least two points $\mathbf{p}_i$ and $\mathbf{p}_j$
K27:02 whose distance expands strictly. This means that the corresponding strict inequality in (21)
K27:03 will hold in (22) too, a contradiction to (20). This finishes the case when $\mathbf{p}_0$ does not lie in
K27:04 the convex hull of some points which move rigidly.
K27:05 In the other case, nonstrict expansiveness can be shown by a variation of the above
K27:06 argument. Alternatively, we can appeal to Lemma 2 (or its higher-dimensional extension)
K27:07 and let the point $\mathbf{p}_0$ move rigidly with the rigid point set in whose convex hull it lies. The
K27:08 resulting motion is expansive; the distance from $\mathbf{p}_0$ to the other points will expand strictly,
K27:09 with the obvious exception of the points with which it moves rigidly. This concludes the first
K27:10 proof of the lemma.

K27:11 The other proof establishes a connection to tensegrity frameworks and is more intuitive.
K27:12 However, we have to deal with a few extra cases to reduce the statement of the lemma to the
K27:13 basic case that the points $\mathbf{p}_1, \ldots, \mathbf{p}_n$ form the vertices of a simplex that contains the point
K27:14 $\mathbf{p}_0$ in its interior.
K27:15 We proceed by induction on the dimension d. There is nothing to prove in case $d = 0$.
K27:16 So we assume the statement for $0, \ldots, d-1$ and $d \geq 1$. The desired inequalities $(\mathbf{v}_0 - \mathbf{v}_i) \cdot$
K27:17 $(\mathbf{p}_0 - \mathbf{p}_i) > 0$ define open half spaces

K27:18 $$H_i = \{\, \mathbf{v}_0 \mid \mathbf{v}_0 \cdot (\mathbf{p}_0 - \mathbf{p}_i) > \mathbf{v}_i \cdot (\mathbf{p}_0 - \mathbf{p}_i) \,\}.$$

K27:19 This finite collection of half spaces is nonempty precisely if every set of at most $d+1$ of them
K27:20 is nonempty by Helly's theorem [DGK63]. So we consider any subset of $k \leq d+1$ points
K27:21 $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_k$ of $\mathbf{p}$. If $\mathbf{p}_0$ is outside the convex hull σ of these points, then simply choose $\mathbf{v}_0$
K27:22 in a direction along a normal to a hyperplane separating $\mathbf{p}_0$ and σ, pointing away from σ. If
K27:23 the magnitude of $\mathbf{v}_0$ is large enough, then the desired inequalities will be satisfied.
K27:24 If $\mathbf{p}_0$ lies in the convex hull of the given points, we first consider the "general" case that
K27:25 there are $k = d+1$ affine-independent points, forming the vertices of the d-dimensional
K27:26 simplex σ in $\mathbb{R}^d$, and $\mathbf{p}_0$ is interior to σ. Suppose that the inequalities defined above do not
K27:27 have a solution. Then if we look at the complementary half-spaces defined by

K27:28 $$H_i^- = \{\, \mathbf{v}_0 \mid \mathbf{v}_0 \cdot (\mathbf{p}_0 - \mathbf{p}_i) \leq \mathbf{v}_i \cdot (\mathbf{p}_0 - \mathbf{p}_i) \,\},$$

K27:29 they do have a solution. Let $\mathbf{v} = (\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_{d+1})$ be a solution to those new inequalities.
K27:30 Now $\mathbf{v}$ is an infinitesimal motion of the tensegrity that is obtained by having *cables* from
K27:31 $\mathbf{p}_0$ (whose lengths can only shrink) and the rest all struts as before. But it is easy to
K27:32 show that this tensegrity has no infinitesimal motion in $\mathbb{R}^d$. (For example, apply Theorem
K27:33 5.2(c) of [RW81] observing that the underlying bar framework has no non-trivial infinitesimal
K27:34 motion, and there must be a proper stress that is nonzero on all struts and cables. An
K27:35 explicit calculation of the proper stress is given in [BC99]. The calculation is similar to the
K27:36 calculations (19)–(22) in the first part of the proof, with $\lambda_i \lambda_j$ being interpreted as stress.)
K27:37 So $\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_{d+1}$ must be a trivial infinitesimal motion, which can only happen if the
K27:38 motion is trivial on all of σ.
K27:39 There are two remaining cases:

K27:40 (a) The points $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_k$ lie in a hyperplane S. This includes the cases when $k < d+1$
K27:41 and when the points are affine-dependent.

(b) There are $k = d + 1$ points $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_{d+1}$ forming a d-dimensional simplex σ in $\mathbb{R}^d$, and $\mathbf{p}_0$ lies on the boundary of σ.

In case (a), we know that $\mathbf{p}_0 \in S$, because otherwise it would lie outside the convex hull σ. We decompose each $\mathbf{v}_i$ into a component $\mathbf{v}_i^{\parallel}$ parallel to S and a component $\mathbf{v}_i^{\perp}$ perpendicular to S. By the induction hypothesis, there is a vector $\mathbf{v}_0^{\parallel}$ parallel to S such that it together with the other vertices is infinitesimally expansive with respect to the projected $\mathbf{v}_i^{\parallel}$ and hence the $\mathbf{v}_i$ themselves. It is strict unless $\mathbf{p}_0$ is in the convex hull of a subset of the points where the infinitesimal motion is trivial.

In case (b), there is a hyperplane S containing $\mathbf{p}_0$ and all of the points $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_{d+1}$ except one, say $\mathbf{p}_{d+1}$. We apply the construction of case (a) to the points $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_d$, yielding a vector $\mathbf{v}_0^{\parallel}$ which is infinitesimally expansive with respect to these vertices. To get expansiveness also for $\mathbf{p}_{d+1}$, we add to $\mathbf{v}_0^{\parallel}$ a sufficiently large vector $\mathbf{v}_0^{\perp}$ perpendicular to S, pointing into the halfspace of S which does not contain $\mathbf{p}_{d+1}$. Since the added vector $\mathbf{v}_0^{\perp}$ is perpendicular to S, this does not affect expansiveness with respect to the points $\mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_d$ in S.

Thus in any case we see that there is a strict solution to the inequalities for $\mathbf{p}_0, \mathbf{p}_1, \mathbf{p}_2, \ldots, \mathbf{p}_{d+1}$, or $\mathbf{p}_0$ is in the convex hull of points where the infinitesimal motion acts as bars for all surrounding points. This concludes the second proof of the lemma. □

Now we apply this lemma to prove that the area of a polygonal cycle increases by any expansive motion. Using the lemma inductively, we can extend an expansive motion to any finite set of points. Specifically, we apply Lemma 11 to the vertices of an appropriately chosen triangulation of the region bounded by a polygonal cycle. (The triangulation introduces new vertices in addition to the vertices of the polygonal cycle.) The following result can be found in [BGR88]. (See also [BMR95, Epp97] for faster algorithms.)

Lemma 12 *Any simple closed polygonal curve in the plane can be triangulated, introducing extra vertices, such that all the triangles are nonobtuse, i.e., every angle is at most $\pi/2$.*

There has been some interest in providing acute triangulations and subdivisions (in contrast to nonobtuse triangulations) of various planar polygonal objects. For example, the column of Martin Gardner [Gar60] (see also [Gar95] and [Man60]) asks for a dissection of a right triangle into acute triangles. but we do not know of a result guaranteeing an acute triangulation for a general polygon. Fortunately, the following is sufficient for the area-expanding property that we need:

Lemma 13 *Let $\mathbf{v} = (\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3)$ be an infinitesimal motion of a nonobtuse triangle $\mathbf{p} = (\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3)$ such that for $i \neq j$,*

$$(\mathbf{v}_i - \mathbf{v}_j) \cdot (\mathbf{p}_i - \mathbf{p}_j) \geq 0. \tag{23}$$

Then the infinitesimal change in the area of triangle $\mathbf{p}$ is always nonnegative. Furthermore, the infinitesimal change in the area is positive except in the following two cases:

(a) *The infinitesimal flex $\mathbf{v}$ is trivial, i.e., no inequality in (23) is strict.*

K29:01 (b) **p** *is a right triangle and only the hypotenuse has a strict inequality in* (23).

K29:02 **Proof:** Let the lengths of the sides of the triangle be denoted by a, b, c, and let the area of
K29:03 the triangle be denoted by A. If we differentiate Heron's formula

K29:04 $$16A^2 = 2(a^2b^2 + a^2c^2 + b^2c^2) - (a^4 + b^4 + c^4)$$

K29:05 and rearrange terms, denoting derivatives by a', b', c', A', we get

K29:06 $$8AA' = (b^2 + c^2 - a^2)aa' + (a^2 + c^2 - b^2)bb' + (a^2 + b^2 - c^2)cc'. \tag{24}$$

K29:07 We can regard aa', bb', cc' as the left hand side of (23). Each of the terms in parentheses in
K29:08 (24) is nonnegative because **p** is nonobtuse. Thus $A' \geq 0$.

K29:09 If **p** is an acute triangle and at least one of $a' > 0$ or $b' > 0$ or $c' > 0$, then (24) is positive,
K29:10 and thus $A' > 0$. Suppose **p** is a right triangle and c represents the length of the hypotenuse.
K29:11 If at least one of $a' > 0$ or $b' > 0$, then (24) is positive, so $A' > 0$. □

K29:12 Note that with a right triangle it is possible for the first derivative of the length of the
K29:13 hypotenuse to be positive while the first derivative of the length of the two legs is 0, and in
K29:14 this case the first derivative of the area will still be 0. This is the reason for the condition
K29:15 on the legs of the triangle.

K29:16 **Theorem 7** *Any smooth expansive noncongruent motion of a simple closed polygonal curve*
K29:17 C *in the plane, fixing the lengths of its edges, must increase the area of the interior of* C
K29:18 *during the motion.*

K29:19 **Proof:** Consider the vector field $\mathbf{v}_t$, $0 \leq t \leq 1$ defined as the derivative at each vertex of
K29:20 C at time t. Apply Lemma 12 to find a triangulation T of the area bounded by C with all
K29:21 triangles nonobtuse. Apply Lemma 11 to extend the vector field to the vertices of T.

K29:22 To get a strictly increasing area, we have to show that the triangulation T has an acute
K29:23 triangle with an edge interior to C, or a right triangle with a leg interior to C. Otherwise,
K29:24 T would be a single triangle, or it would exclusively consist of right triangles with both
K29:25 legs on C, hence it would be a convex quadrilateral with two opposite corners having right
K29:26 angles. These cases are excluded because a triangle or a convex quadrilateral (or any convex
K29:27 polygon) does not have an expansive noncongruent motion.

K29:28 So we have established that T must have an acute triangle with an edge interior to C or
K29:29 a right triangle with a leg interior to C. Because the motion is expansive and the derivative
K29:30 of at least one of those lengths must be positive for all but a finite number of times, the
K29:31 derivative of the area of at least one of those triangles must be strictly positive, and they all
K29:32 are nonnegative by Lemma 13. So the derivative of the area bounded by C must be positive
K29:33 for all but a finite number of times $0 \leq t \leq 1$. Thus the area must strictly increase. □

K29:34 5.3 Topology of Configuration Spaces

K29:35 It is natural to ask more about the structure of the *configuration space* of an arc-and-cycle
K29:36 set. Let $X(G, L)$ denote the space of all configurations of embeddings in the plane of of a

K30:01 bar graph G consisting of a finite number arcs and cycles, without self-intersections, where
K30:02 the edge lengths are determined by $L = (\ldots, \ell_{ij}, \ldots)$. This inherits a natural topology from
K30:03 considering all the coordinates of all the vertices as part of a large dimensional Euclidean
K30:04 space. Let $X_0(G, L) \subset X(G, L)$ denote the subspace of outer-convex configurations. We
K30:05 assume that L is chosen so that there is at least one realization in the plane. We mention
K30:06 some results without proof.

K30:07 **Theorem 8** *The space of outer-convex realizations $X_0(G)$ is a strong deformation retract*
K30:08 *of $X(G)$.*

K30:09 The main point to remember is that the limit in Theorem 1 depends continuously on the
K30:10 initial starting configuration. The following is a natural consequence of Theorem 8.

K30:11 **Corollary 3** *If the underlying graph G is a single arc or a single cycle, then $X(G, L)$ modulo*
K30:12 *congruences (including orientation reversing ones) is contractible.*

K30:13 Here the main task is to show that the space of convex realizations is contractible.
K30:14 It is interesting to compare $X(G, L)$, as we have defined it, to the space of realizations
K30:15 of an arc or cycle in the plane with fixed edge lengths, but where crossings are allowed. See
K30:16 e.g. [LW95, KM99, KM95a, KM95b, KM96] for results about this space.

K30:17 ## 5.4 Open Problems

K30:18 Another direction is to explore what happens when the arc-and-cycle set is allowed to touch
K30:19 but not cross:

K30:20 **Conjecture 1** *If G is a single arc or a single cycle, then the closure of $X(G, L)$ modulo*
K30:21 *congruences is contractible.*

K30:22 We conjecture that motions can be realized by a sequence of relatively simple motions:

K30:23 **Conjecture 2** *If A is an arc-and-cycle set in the plane, then there is a motion that takes it*
K30:24 *to an outer-convex configuration, by a finite sequence of motions, where each motion changes*
K30:25 *at most four vertex angles.*

K30:26 It also remains open precisely how many such moves are needed.

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